

Hideo Kodama, JGRG 22(2012)111504

“Charmed by spacetime physics – from four to higher  
dimensions”

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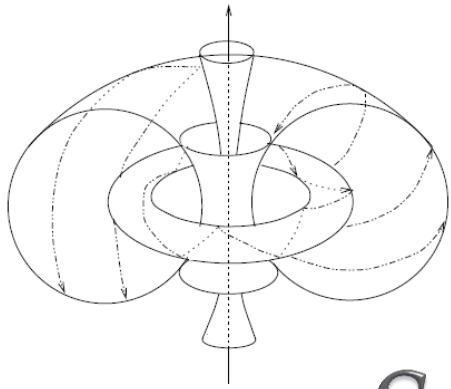
**RESCEU SYMPOSIUM ON  
GENERAL RELATIVITY AND GRAVITATION**

**JGRG 22**

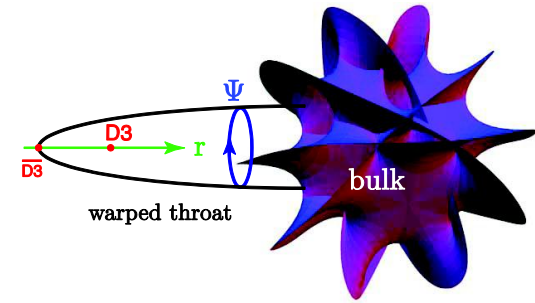
November 12-16 2012

Koshiba Hall, The University of Tokyo, Hongo, Tokyo, Japan

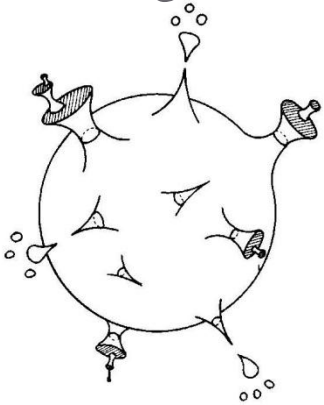




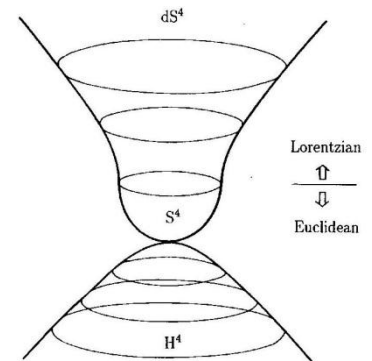
# Charmed by Spacetime Physics



— From Four to Higher Dimensions —



Hideo Kodama  
Theory Center, KEK



The 22th JGRG symposium  
2012.11.15 Koshiba Hall, University of Tokyo

# In Hayashi School

»» 1975-1983

# Bitter start

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1975/4 Nuclear Astrophysics Group  
led by late Prof. Chushiro Hayashi  
in Kyoto University.

- 1969 Lagrangian quantum cosmology (Misner)  
Particle creation in an expanding universe  
(Parker)
- 1970 Singularity theorems (Hawking, Penrose)
- 1973 BH entropy (Bekenstein)  
Hawking –Ellis textbook
- 1974 BH evaporation (Hawking)



1975 研究室ハイキング、洛南鷲峰山の行者場、回想：鈴木博子君も非常に険しい道を降り下った





1999 佐藤文隆氏の紫綬褒章受賞祝賀会、於京都ロイヤルホテル、写真の左半分





同写真の右半分

# Bitter start

- 1975/4 Nuclear Astrophysics Group  
led by late Prof. Chushiro Hayashi  
in Kyoto University.
- 1975/7 My daughter was born.
- 1977/1 My son was born.

1977/12 **Two papers on quantum gravity.**

- “Quantization of Gauge Fields by the Reduced Formalism. 1. The Case of the Yang-Mills Field”
- “Quantization of Gauge Fields by the Reduced Formalism. 2. The Case of the Gravitational Field”

**Both were rejected!!**

**Lesson 1**

**Do not be too  
self-confident !**

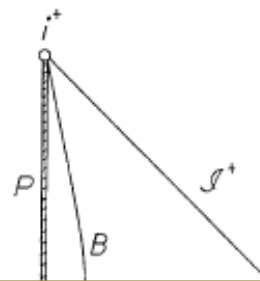


# First Papers

After struggles of two years,

- “Inevitability of a naked singularity associated with the black hole evaporation”, *Kodama H: PTP 62, L1434-1435 (1979)*.
- “Conserved energy flux for the spherical symmetric system and the back reaction problem in the black hole evaporation”, *Kodama H: PTP 63, 1217-1228 (1980)*.

$$K := *(dr \wedge r^n \Omega_n), \quad S^\mu := G^\mu_\nu K^\nu \Rightarrow \nabla_\mu S^\mu$$



## Lesson 2

**The original motivation of a paper will be soon forgotten !**

# Moving to Physical Cosmology

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1981/3 Degree of DS

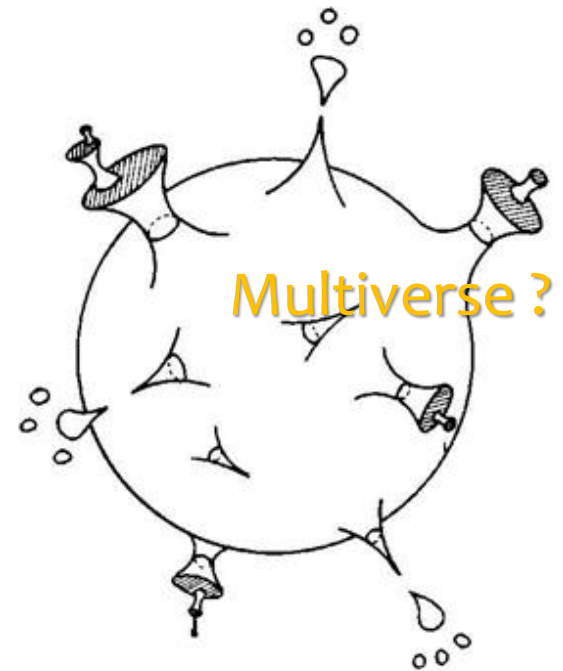
“On the particle-defining modes for a free neutral scalar field in spatially homogeneous and isotropic universes”

Kodama H: PTP65, 507-524 (1981).

But, I was still struggling ...

1981-82 **Invited to collaboration on the inflationary universe model by Katsuhiko Sato (with Maeda K, Sasaki M)**

**This collaboration opened up a new research avenue for me!!**




# **K. Sato's New Group in U. Tokyo**

»» 1983-1987



# The Early History of Inflation Theory

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- 1974 SU(5) GUT (George H & Glashow SL)
- 1980 GUT inflation ( Sato K, Kazanas D, Guth A)
- 1981 New inflation model (Linde A, Albrecht & Steinhardt)
- 1982 Cosmological perturbations from quantum fluctuations (Linde A, Hawking SW & Moss I, Starobinsky AA)  
Creation of the Universe from Nothing (Vilenkin A)
-  1983 Wave function of the universe (Hartle J, Hawking SW)  
Chaotic inflation (Linde A)
- 1984 Birth of superstring theories

# From Inflation to Cosmological Perturbations

The old inflation model → too inhomogeneous universe.

The new inflation model → too “bold” universe.

How did the present inhomogeneous structures emerge?

Gauge-invariant formulation for perturbations

“Gauge Invariant Cosmological Perturbations”, Bardeen J:  
PRD22, 1882 (1980)

Extension to multi-component systems

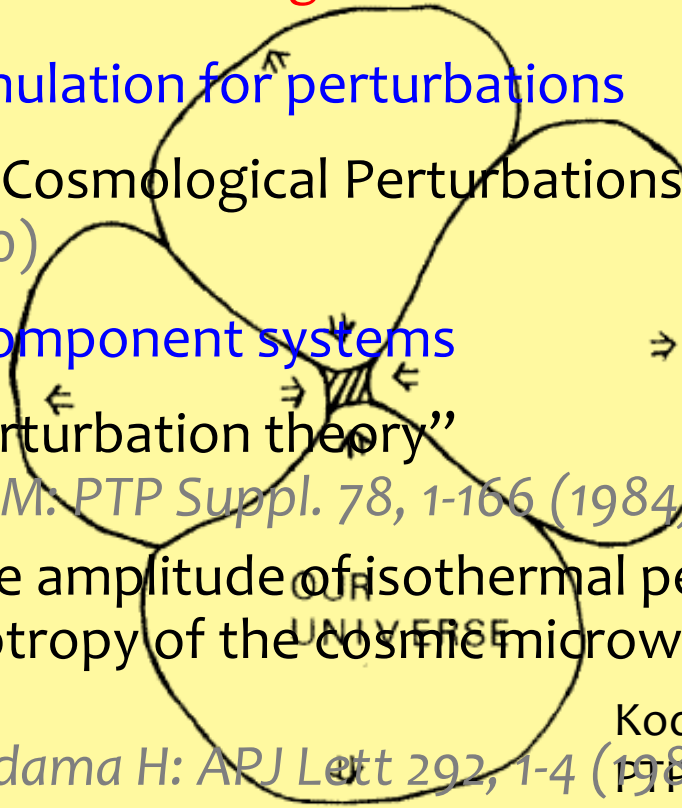
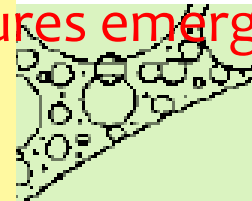
➤ “Cosmological perturbation theory”

Kodama H, Sasaki M: PTP Suppl. 78, 1-166 (1984).

➤ “Constraint on the amplitude of isothermal perturbations imposed by an isotropy of the cosmic microwave background radiation”

Suto Y, Sato K, Kodama H: APJ Lett 292, 1-4 (1988)

“Comments on the chaotic inflation”, Kodama H, Sasaki M, Sato K: NTAP9, Proc. GUT & Cosmology, Tsukuba 1983.



# More on Cosmology

We introduced the concept of “isocurvature perturbations” and studied their cosmological consequences.

- “Evolution of isocurvature perturbations I: Photon-baryon universe”

*Kodama H, Sasaki M: IJMP A 1, 265-301 (1982)*

- “Evolution of isocurvature perturbations II: Neutrino-baryon universe”

*Kodama H, Sasaki M: IJMP A 2, 491-560 (1983)*

- Decaying CDM (with Suto & Sato)
- Baryogenesis after inflation (with Yokoyama)
- Dynamics of quark –hadron phase transition (with Sato)

## Lesson 3

**Do not insist on  
your preference  
wrt research  
subjects !**



# Back to Kyoto U.

»» 1987-1993

# Back to Quantum Gravity

## Interpretation of quantum cosmology

- “Quantum Cosmology In Terms Of The Wigner Function.”, Kodama H(1988), MG5 (Perth, Australia)

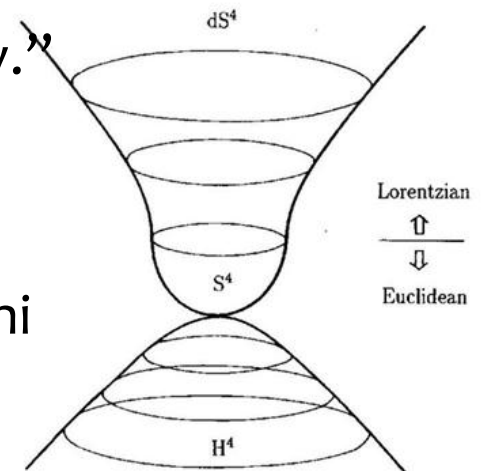
I was struggling again. ..

## New canonical formulation by Ashtekar.

“New Variables for Classical and Quantum Gravity.”  
Ashtekar A: PRL 57, 2244-2247 (1986)

## Application to cosmology.

- “Specialization of Ashtekar’s Formalism to Bianchi Cosmology”  
Kodama H: PTP 80, 1024-1040 (1988).
- “Holomorphic Wavefunction of the Universe”  
Kodama H: PRD 42, 2548-2565 (1990).



$$\Psi = \exp \left( -\frac{i}{\Lambda} S_{CS}[\mathcal{A}] \right)$$

# New Research Group

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## Compact Bianchi models

- “Comments on closed Bianchi models”

*Fujiwara Y, Ishihara H, Kodama H: CQG 10, 859-868 (1993)*

## Domain wall dynamics

- “Does a domain wall emit gravitational waves? An invariant perturbative treatment”

*Kodama H, Ishihara H, Fujiwara Y: PRD 50, 7*

## Maximum mass of dS BH

- “Can Large Black Holes Collide in de Sitter Space? An Inflationary Scenario of Inhomogeneous Black Holes”  
*Shiromizu T, Nakano K, Kodama H, Maeda M: PRD 48, 103501 (1993).*

**Lesson 4**

**Do not do  
research alone!**



# **In Yukawa Institute (Uji Branch)**

» 1993-1995

# New Formulation for Canonical QG

Dirac formalism for the canonical quantum gravity

$$\mathcal{C}_g \Psi = 0, \mathcal{H}_m \Psi = 0, \mathcal{H}_\perp \Psi = 0$$

$$H = \langle N^\alpha, \mathcal{H}_\alpha \rangle \approx 0$$

$$\begin{aligned} T \text{ is a time variable} &\Leftrightarrow [H, T] \not\approx 0 \\ X \text{ is a Dirac observable} &\Leftrightarrow [\mathcal{H}_\alpha, X] \approx 0. \end{aligned}$$

New quantum formulation for totally constrained systems.

- “Dynamics of Totally Constrained Systems I. Classical Theory”  
*Kodama H : PTP 94, 475-501 (1995)*
- “Dynamics of Totally Constrained Systems II. Quantum Theory”  
*Kodama H : PTP 94, 937-987 (1995)*

These are the the least cited papers among my papers, but I believe that these are the most original and deep work in my life.

# Web Formalism for a Totally Constrained System

## Totally Constrained System

$$H = n^\alpha h_\alpha : [h_\alpha, h_\beta] = h_\gamma f_{\alpha\beta}^\gamma$$

The state vector is just a bookkeeper of measurement info:

$$\langle x_n | \Phi \rangle^2, X|x_n\rangle = x_n|x_n\rangle$$

The operator is composed on an unbounded linear function describes dynamics:

$$\Psi(H) \Leftrightarrow \Psi(\mathcal{N}) = 0, \mathcal{N} = \sum \text{range } h_\alpha$$

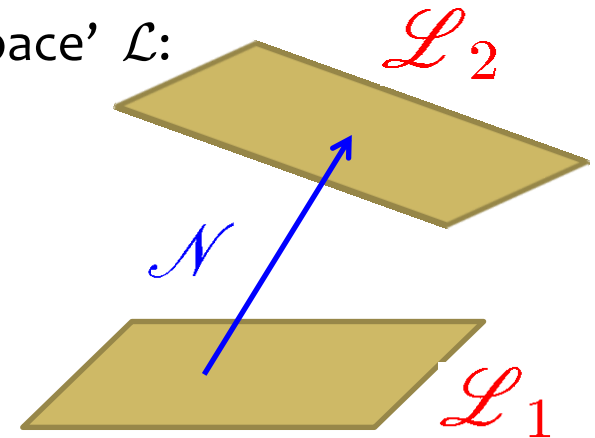
Time on an 'instantaneous state space'  $\mathcal{L}$ :

$$\mathcal{L} \cap \mathcal{N} = 0$$

On  $\mathcal{L}$  the usual wavefunction:

$$\Phi \in \mathcal{L}$$

**Lesson 5**  
**Formulation must be timely and productive!**





# In Yukawa Institute (Kitashirakawa)

1995-2007



# Bianchi Cosmology

## Hosoya Group in Titech

“Compact homogeneous universes.”

*Koike T, Tanimoto M, Hosoya A: JMP35, 4855 (1994) [grcq/9405052]*

Thurston conjecture ( $\Rightarrow$  proved by G Perelman 2002-2003)

Locally homogeneous & compact 3D  $\rightarrow M = \tilde{M}/\Gamma$

$\tilde{M} = E^3, S^3, H^3, S^2 \times E^1, H^2 \times E^1, \text{Nil}, \text{Sol}, \widetilde{SL_2\mathbb{R}}$

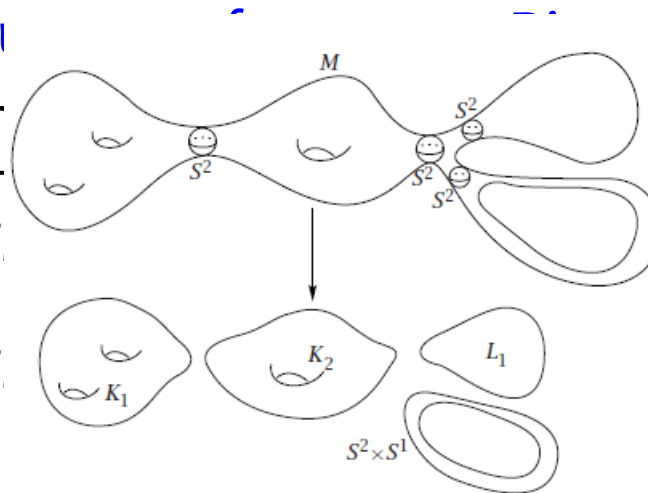
## Hamiltonian str

- “Canonical Str
- Closed 3-Mani

*Kodama H : PT*

- “Phase Space

*Kodama H : PT*



## Li models

Geodesic Systems on Compact

[705066].

Systems with Fluid”

[0109064].



# Back to Inflationary Cosmology

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Is the Bardeen parameter conserved during reheating?

- “Evolution of cosmological perturbations in a stage dominated by an oscillatory scalar field”

*Kodama H, Hamazaki T : PTP 96, 949 (1996)[gr-qc/9608022].*

- “Evolution of cosmological perturbations during reheating”

*Hamazaki T, Kodama H: PTP96, 1123 (1996)[gr-qc/9609036].*

- “Evolution of Cosmological Perturbations in the Long Wavelength Limit”

*Kodama H, Hamazaki T: PRD 57, 7177(1998) [gr-qc/9712045].*

# To Higher Dimensions

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## Braneworld model

“A Large mass hierarchy from a small extra dimension”

*Randall L, Sundrum R: PRL83, 3370-3373 (1999)*

“An Alternative to compactification”

*Randall L, Sundrum R: PRL83, 4690-4693 (1999)*

## Perturbations with model generalisation

$$\tilde{M} = \mathcal{N} \times K : \quad ds^2 = g_{ab}(y)dy^a dy^b + r(y)^2 d\sigma_K^2$$

- Brane World Cosmology — Gauge-Invariant Formalism for Perturbation —

*Kodama H, Ishibashi A, Seto O: PRD62, 064022(2000)[hep-th/0004160].*

Cf. “Gauge invariant gravitational perturbations of maximally symmetric space-times”,  
Mukohyama S: PRD62, 084015 (2000)[hep-th/0004067]

# Higher-Dimensional Black Holes

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## Static black holes in higher dimensions

$$ds^2 = -f(r)dt^2 + f(r)^{-1}dr^2 + r^2 d\sigma_n^2$$

## Three types of perturbations

- tensor perturbations : trivial
- vector perturbations : easy
- scalar perturbations : difficult → Original paper by Zerilli

## Generalisation of the Regge-Wheeler & Zerilli equations

- “A master equation for gravitational perturbations of maximally symmetric black hole in higher dimensions”  
*Kodama H, Ishibashi A.: PTP110, 701-722 (2003)*
- “Stability of higher-dimensional Schwarzschild black holes”  
*Ishibashi A, Kodama H : PTP110, 901-919 (2003)*
- “Master equations for perturbations of generalized static black holes with charge in higher dimensions”  
*Kodama H, Ishibashi A.: PTP111, 29-73 (2004)*

Thanks to Maple!!



# To HD Unified Theories

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The first realistic string inflation model (KKLT):

“de Sitter Vacua in String Theory”

*Kachru S, Kallosh R, Linde A, Trivedi S : PRD68, 046005 (2003).*

Systematic classification of BPS solutions to sugra of  $D=5 - 11$ :

*Gauntlett JP, Gutowski JB (2003), ...*

Dynamics of warped compactification

- “Moduli Instability in Warped Compactifications of the Type IIB Supergravity

*Kodama H. and Uzawa K.: JHEP 0507, 061:1-16 (2005) [hep-th/0504193].*

- “Comments on the four-dimensional effective theory for warped compactification”

*Kodama H. and Uzawa K.: JHEP 0603, 053:1-18 (2006) [hep-th/0512104].*

# Bottom Up Analysis

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Standard model  $\Rightarrow$  GUT: gauge-sector unification

- unification, hypercharge structure, neutrino mass
- Baryon asymmetry, strong CP (Peccei-Quinn symmetry)

GUT  $\Rightarrow$  SGUT: boson-fermion correspondence

- Dark matter,  $\Lambda$  problem, hierarchy problem

SGUT  $\Rightarrow$  SUGRA GUT: inclusion of gravity

- Flat inflaton potential

SUGRA GUT  $\Rightarrow$  HD SUGRA GUT: matter sector unification

- Generation repetition, Cabibbo/neutrino mixing, CP violation
- Origin of the Higgs in the adjoint representation

HD SUGRA GUT  $\Rightarrow$  Superstring/M theory

- Consistency as a quantum theory, finite control parameters
- No  $\Lambda$  freedom (M-theory)

# Research History

GUT inflation model

1981~82, 1987~88

QFT in curved spacetime

1979~81

Cosmological

Particle Creation

BH evaporation

Galaxy f  
anisotro

Cosmo  
pertur  
1984

Brane  
its pe

arity

y

I decided to push forward my research in my remaining time, believing that our universe is really higher-dimensional microscopically !!

2000~02

Uniqueness and stability of higher-dim BHs

2003~

1993~2002

Cosmology based on higher-dimensional sugra/string theory

2004~

2003

# New Group in KEK

»» 2007 -- 2016

# Cosmophysics Group Key Projects

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- Test unified theory of all interactions including gravity by the early evolution of the Universe
- High energy physics of cosmic jets and black holes



# Problems to be solved in HUnT

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- Dark Energy / Inflation Problems

- Supersymmetry is necessary to control the vacuum energy but not sufficient to make it small.

- ☞ New symmetry?

- How to circumvent the No-Go theorem against inflation?

- ☞ Higher-order corrections? Singularities? Open extra-dimensions?

- Compactification problem

- No compactification with stabilised moduli consistent with the SM has been found.

- ☞ Can we reproduce the SM ?

- Landscape problem, breaking and restoration of SUSY

- ☞ Dynamical comparison of compactification and ground states.

# Messengers of Fundamental Physics in Cosmophysics

- Indirect

CMB /LSS → Inflation

- Direct

DM: neutralinos  $E \sim E_{\text{SUSY}} = 1\text{TeV} \sim 10^{10} \text{ GeV}$

gravitinos  $E \sim E_{\text{SUSY}}$ , almost undetectable

**axions**  $E \sim f_a = 10^8 \text{ GeV} \sim m_{\text{pl}}$

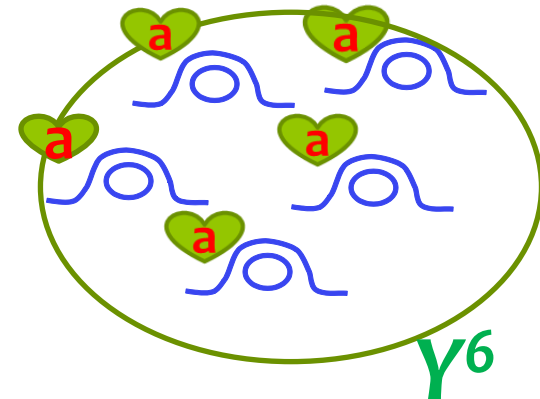
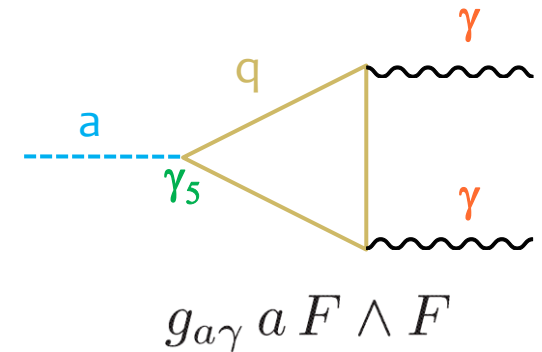
GW:  $E \lesssim m_{\text{pl}}$

Dark radiations ???

# Axiverse

Among these, axions are quite unique in the following points:

- The shift symmetry leads to Chern-Simons interactions producing **interesting and rich cosmophysical phenomena**.
- Non-perturbative effects produce very tiny mass, which is consistent with experiments due to its pseudo-scalar nature. **The Compton wavelength can be of cosmological scales.**
- Axion is an indispensable ingredient in the anomaly cancellation and appears **ubiquitously in string compactification**.



Thus, axions provide us the possibility to probe physics on the highest energy scales by low energy cosmophysical phenomena.

# Back to Four Dimensions



I believe that axion is the most promising research subject in the future.

So, on the occasion of my becoming 60 years old, I propose the subject “axion cosmophysics” as the baton to the next generation.

	1	2	3	4	5	6	7	8	9	10
+ 干	甲	乙	丙	丁	戊	己	庚	辛	壬	癸
+ 二支	子	丑	寅	卯	辰	巳	午	未	申	酉
	11	12	13	14	15	16	17	18	19	20
+ 干	甲	乙	丙	丁	戊	己	庚	辛	壬	癸
+ 二支	戌	亥	子	丑	寅	卯	辰	巳	午	未
	21	22	23	24	25	26	27	28	29	30
+ 干	甲	乙	丙	丁	戊	己	庚	辛	壬	癸
+ 二支	申	酉	戌	亥	子	丑	寅	卯	辰	巳
	31	32	33	34	35	36	37	38	39	40
+ 干	甲	乙	丙	丁	戊	己	庚	辛	壬	癸
+ 二支	午	未	申	酉	戌	亥	子	丑	寅	卯
	41	42	43	44	45	46	47	48	49	50
+ 干	甲	乙	丙	丁	戊	己	庚	辛	壬	癸
+ 二支	辰	巳	午	未	申	酉	戌	亥	子	丑
	51	52	53	54	55	56	57	58	59	60
+ 干	甲	乙	丙	丁	戊	己	庚	辛	壬	癸
+ 二支	寅	卯	辰	巳	午	未	申	酉	戌	亥

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KEK, Tsukuba, Japan  
6-9 Nov. 2012  
<http://www-conf.kek.jp/AIU12/>



**SOC:**

- Hideo Kodama (KEK, Chiba)
- Maataka Fukugita (IPMU)
- Maaschi Hazumi (ESK)
- Kanbun Ioka (KIST)
- Akihiro Ishiyama (Kinki U)
- Masahiro Kawasaka (ICRR)
- Tatsuo Kobayashi (Kyoto U)
- Kazunori Kohri (ICRR)
- Shun'ya Mizoguchi (KEK)
- Shinji Mukoyama (IPMU)
- Kazuyuki Nakayama (IPMU)
- Nariyoshi Ohta (Kinki U)
- Jiro Soda (Kyoto U)
- Fuminobu Takahashi (Tohoku U)
- Takayuki Tomaru (KEK)
- Masahide Yamaguchi (Titech)
- Jun-ichi Takayama (RESCEU)
- Yoshitaka Uchida (KEK)
- Achri K. Kuzotoku (ICRR)

**Invited Speakers:**

- Markus Ackermann (DESY)
- Karl van Bibber (UCR)
- Charles D. Dermer (USNRL)
- Sergei Dubavskiy (New York U)
- Pedro G. Ferreira (U Oxford)
- Yusaku Fusa (Osaka U)
- Joerg Jaeckel (Durham)
- Markus Kachelrieß (ICRR)
- Yoshitaka Yoshida (KEK)
- Shuji Matsumura (JAXA)
- Makoto Minowa (U Tokyo)
- Shinji Mukoyama (IPMU)
- Kazuyuki Ohnaka (Kyoto U)
- Jonathan R. Primack (Harvard U)
- Georg G. Raffelt (MPP)
- Tetsuya Shimomizu (Kyoto U)
- Osamu Seto (Hokkaido U)
- Atsushi Tawara (U Exeter)
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