

Degenerate Higher-Order Multi-Scalar-Tensor theories

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Motivation

Ostrogradsky stable scalar-tensor theories
(EOMs are at most $\ddot{\phi}$) \uparrow_{ϕ} (single field)

$$\mathcal{L} = \mathcal{L}(\nabla_\mu \nabla_\nu \phi, \nabla_\mu \phi, \phi; \partial_\rho \partial_\sigma g_{\mu\nu}, \partial_\rho g_{\mu\nu}, g_{\mu\nu})$$

→ generally, EOMs are higher derivatives

But some theories' EOMs $\sim \ddot{\phi}$: **degenerate theory**

classify degenerate theories into 2 types:

- { “**trivially degenerate**” : EOMs $\sim \nabla \nabla \phi$
e.g.) Horndeski [Horndeski 1970]
[Kobayashi, et al. 2011]
 - “**nontrivially degenerate**” : EOMs are higher, but at most $\ddot{\phi}$
e.g.) GLPV [Gleyzes, et al. 2014]
DHOST [Langlois, Noui 2015]
- …we have been talking about single-scalar theories.

**Can we construct some degenerate
multi-scalar-tensor theories?**

Setup

$\mathcal{L} = \mathcal{L}(\underline{\nabla_\mu \nabla_\nu \phi^I}, \nabla_\mu \phi^I, \phi^I; \partial_\rho \partial_\sigma g_{\mu\nu}, \partial_\rho g_{\mu\nu}, g_{\mu\nu}) \quad (I = 1, \dots, N)$

we consider Lagrangians which contain

$$\nabla \nabla \phi^I, (\nabla \nabla \phi^I)^2, (\nabla \nabla \phi^I)^3, \dots$$

the most general Lagrangian (+ Einstein-Hilbert term) is:

$$\mathcal{L} = \sqrt{-g} \left[\frac{(4)R}{2} - \mathcal{A}_{(IJ)K}(\phi^L, X^{MN}) \nabla_\mu \phi^I \nabla^\nu \phi^J \nabla_\nu \nabla^\mu \phi^K \right]$$

arbitrary function: $\mathcal{A}_{(IJ)K} = \mathcal{A}_{(JI)K}$

kinetic term: $X^{IJ} := -\frac{1}{2}g^{\mu\nu} \nabla_\mu \phi^I \nabla_\nu \phi^J$

if you don't do anything, this EOMs $\sim \nabla \nabla \nabla \phi^I$

→ **find degeneracy conditions**

(restrict $\mathcal{A}_{(IJ)K}$ and make EOMs degenerate)

**after that, we see how these conditions
are appeared in the EOMs**

Degeneracy conditions

For single-scalar theories: $\det(\text{kinetic matrix}) = 0$

For multi-scalar theories [Motohashi, et al. 2016]
 [Crisostomi, et al. 2017]

$$L(\ddot{\phi}^I, \dot{\phi}^I, \phi^I, \dot{q}^\alpha, q^\alpha) = L(\dot{A}^I, A^I, \phi^I, \dot{q}^\alpha, q^\alpha) + \lambda_I(\dot{\phi}^I - A^I)$$

$\ddot{\phi}^I$ can be removed(degenerate) $\leftrightarrow S_{[IJ]} = 0$

where $S_{[IJ]} := \partial_i L_{\partial_i A^I \dot{A}^J} + V_I^\alpha \partial_i L_{\partial_i q^\alpha \dot{A}^J} + \partial_i L_{\partial_i A^I \dot{q}^\beta} V_J^\beta + V_I^\alpha \partial_i L_{\partial_i q^\alpha \dot{q}^\beta} V_J^\beta$

$$\begin{aligned} &+ \partial_i V_J^\beta \left(L_{\partial_i A^I \dot{q}^\beta} + L_{\dot{A}^I \partial_i \dot{q}^\beta} + 2V_I^\alpha L_{\dot{q}^\alpha \partial_i q^\beta} \right) \\ &+ (L_{\dot{A}^I A^J} - L_{A^I \dot{A}^J}) + V_I^\alpha \left(L_{\dot{q}^\alpha A^J} - L_{q^\alpha \dot{A}^J} \right) \\ &+ \left(L_{\dot{A}^I q^\beta} - L_{A^I \dot{q}^\beta} \right) V_J^\beta + V_I^\alpha \left(L_{\dot{q}^\alpha q^\beta} - L_{q^\alpha \dot{q}^\beta} \right) V_J^\beta \\ &\left(L_{\dot{A}^I \dot{A}^J} := \frac{\partial L}{\partial \dot{A}^I \partial \dot{A}^J}, V_I^\alpha := -L_{\dot{A}^I \dot{q}^\beta} L_{\dot{q}^\beta \dot{q}^\alpha}^{-1} \right) \end{aligned}$$

in our case,

$$S_{[IJ]} = 2(\mathcal{A}_{(KJ)I} - \mathcal{A}_{(KI)J})A_*^K + \left(\frac{\partial \mathcal{A}_{(KL)I}}{\partial X^{MJ}} - \frac{\partial \mathcal{A}_{(KL)J}}{\partial X^{MI}} \right) A_*^K A_*^L A_*^M = 0$$

($A_*^I \leftrightarrow \dot{\phi}^I$ is arbitrary)

→ degeneracy conditions:

$$\mathcal{A}_{(KJ)I} = \mathcal{A}_{(KI)J}, \quad \frac{\partial \mathcal{A}_{(KL)I}}{\partial X^{MJ}} = \frac{\partial \mathcal{A}_{(KL)J}}{\partial X^{MI}}$$

EOMs

$\frac{\delta \mathcal{L}}{\delta \phi^I}$ contains $\nabla \nabla \nabla \phi^I \dots$

- $\sqrt{-g} \nabla_\sigma \phi^J \left[\underbrace{(2\mathcal{A}_{(IJ)K} - \mathcal{A}_{(JK)I})}_{\rightarrow \mathcal{A}_{(JK)I} \text{ (for } \mathcal{A}_{(IJ)K} = \mathcal{A}_{(JK)I} \text{)}} \nabla_\mu \nabla^\sigma \nabla^\mu \phi^K - \mathcal{A}_{(JK)I} \nabla^\sigma \nabla_\mu \nabla^\mu \phi^K \right]$

$$= \sqrt{-g} \nabla_\sigma \phi^J \mathcal{A}_{(JK)I} (\nabla_\mu \nabla^\sigma - \nabla^\sigma \nabla_\mu) \nabla^\mu \phi^K$$

$$= \sqrt{-g} \nabla_\sigma \phi^J \mathcal{A}_{(JK)I} R_\rho{}^\sigma \nabla^\rho \phi^K$$

- $\sqrt{-g} \nabla_\mu \phi^M \nabla_\sigma \phi^N \nabla_\delta \phi^J \left[\underbrace{\frac{\partial \mathcal{A}_{(MN)I}}{\partial X^{KJ}} \nabla^\sigma \nabla^\delta \nabla^\mu \phi^K - \frac{\partial \mathcal{A}_{(MN)K}}{\partial X^{IJ}} \nabla^\delta \nabla^\sigma \nabla^\mu \phi^K}_{\text{If } \frac{\partial \mathcal{A}_{(MN)I}}{\partial X^{KJ}} = \frac{\partial \mathcal{A}_{(MN)K}}{\partial X^{IJ}}} \right]$

If $\frac{\partial \mathcal{A}_{(MN)I}}{\partial X^{KJ}} = \frac{\partial \mathcal{A}_{(MN)K}}{\partial X^{IJ}}$  $\frac{\partial \mathcal{A}_{(MN)I}}{\partial X^{KJ}} R^\mu{}_\rho{}^{\sigma\delta} \nabla^\rho \phi^K$

.....
degeneracy conditions \rightarrow all $\nabla \nabla \nabla \phi^I$ are removed

(EOMs $\sim \nabla \nabla \phi^I$)

“trivially degenerate”

**There are no “nontrivially degenerate” case
in linear order of $\nabla \nabla \phi^I$**

Quadratic order?

As the next case, we focus on:

$$\mathcal{L} = \mathcal{L}(\nabla_\mu \nabla_\nu \phi^I, \nabla_\mu \phi^I, \phi^I; \partial_\rho \partial_\sigma g_{\mu\nu}, \partial_\rho g_{\mu\nu}, g_{\mu\nu})$$

$$\boxed{\nabla \nabla \phi^I, (\nabla \nabla \phi^I)^2} (\nabla \nabla \phi^I)^3, \dots$$

$$\begin{aligned} \mathcal{L} &= \sqrt{-g} \left[\frac{(4)R}{2} + \mathcal{A}_{(IJ)(KL)}(\phi^P, X^{MN}) \delta_{\nu_1 \nu_2 \nu_3}^{\mu_1 \mu_2 \mu_3} \nabla_{\mu_1} \phi^I \nabla^{\nu_1} \phi^J \nabla_{\mu_2} \phi^K \nabla^{\nu_2} \phi^L \nabla_{\mu_3} \phi^M \right] \\ &= N \sqrt{\gamma} \left[2\mathcal{C}^{ij} K_{ij} + 2\mathcal{F}_I^{ij} V_*^I K_{ij} + \mathcal{K}^{ij,kl} K_{ij} K_{kl} + 2\mathcal{C}_I V_*^I - \mathcal{U} \right] \end{aligned}$$

ADM form

$$+ \lambda_I (\dot{\phi}^I - N A_*^I - N^i D_i \phi^I) \quad A_*^I \sim \dot{\phi}^I, V_*^I \sim \dot{A}_*^I$$

$$\begin{aligned} \mathcal{K}^{ij,kl} &= \frac{1}{2} (\gamma^{ik} \gamma^{jl} - \gamma^{ij} \gamma^{kl}) \\ &+ \mathcal{A}_{(IJ)(KL)} \left[(A_m^I A_J^m - A_*^I A_*^J) \left\{ A_*^K A_*^L \left(\gamma^{ij} \gamma^{kl} - \gamma^{i(k} \gamma^{l)j} \right) + A_K^j A_L^{(k} \gamma^{l)i} + A_K^i A_L^{(k} \gamma^{l)j} \right\} \right. \\ &+ \left\{ \left(2A_*^I A_J^{(i} A_K^{j)} A_*^L \gamma^{kl} - A_I^{(i} A_J^{j)} A_*^K A_*^L \gamma^{kl} \right) + (ij) \leftrightarrow (kl) \right\} \\ &+ \frac{1}{2} \left(A_*^I A_*^J A_K^j A_L^{(l} \gamma^{k)i} + (i \leftrightarrow j) \right) + \frac{1}{2} \left(A_*^K A_*^L A_I^j A_J^{(l} \gamma^{k)i} + (i \leftrightarrow j) \right) \\ &\left. - \left(A_*^J A_*^L A_I^j A_K^{(l} \gamma^{k)i} + (i \leftrightarrow j) \right) - 2A_I^i A_J^k A_K^j A_L^l \right] \end{aligned}$$

degeneracy condition: $S_{[IJ]} \ni (\mathcal{K}^{ij,kl})^{-1}$... difficult to calculate

→ we are now trying another approach
to get some restrictions

$$\begin{aligned} \mathcal{A}_{(IJ)(KL)} &= \mathcal{A}_{(KL)(IJ)} \\ \delta_{\nu_1 \nu_2 \nu_3}^{\mu_1 \mu_2 \mu_3} &= 3! \delta_{\nu_1}^{[\mu_1} \delta_{\nu_2}^{\mu_2} \delta_{\nu_3}^{\mu_3]} \end{aligned}$$

Quadratic order?

→ cosmological perturbation (work in progress)

scalar perturbations

$$\begin{cases} \text{spatially flat gauge: } N = 1 + \delta N, \quad N_i = \partial_i \chi, \quad \gamma_{ij} = a^2 \delta_{ij} \\ \text{scalar fields: } \phi^I(t, x) = \bar{\phi}^I(t) + Q^I(t, x) \end{cases} \quad \text{N+2 scalars}$$

in order not to propagate extra scalar modes,
we restrict the arbitrary functions

.....
in the same way to the quadratic DHOST,

$$\mathcal{L} = \sqrt{-g} \left[f^{(4)} R + C_{IJ}^{\mu\nu\rho\sigma} \nabla_\mu \nabla_\nu \phi^I \nabla_\rho \nabla_\sigma \phi^J \right]$$
$$C_{IJ}^{\mu\nu\rho\sigma} = \frac{1}{2} \alpha_{1,IJ} (g^{\mu\rho} g^{\nu\sigma} + g^{\mu\sigma} g^{\nu\rho}) + \alpha_{2,IJ} g^{\mu\nu} g^{\rho\sigma} + \frac{1}{2} \alpha_{3,IJKL} (\nabla^\mu \phi^K \nabla^\nu \phi^L g^{\rho\sigma} + \nabla^\rho \phi^K \nabla^\sigma \phi^L g^{\mu\nu})$$
$$+ \frac{1}{8} \alpha_{4,IJKL} (\nabla^\mu \phi^K \nabla^\rho \phi^L g^{\nu\sigma} + \nabla^\nu \phi^K \nabla^\rho \phi^L g^{\mu\sigma} + \nabla^\mu \phi^K \nabla^\sigma \phi^L g^{\nu\rho} + \nabla^\nu \phi^K \nabla^\sigma \phi^L g^{\mu\rho})$$
$$+ (K \leftrightarrow L)) + \frac{1}{6} \alpha_{5,IJKLMN} (\nabla^\mu \phi^K \nabla^\nu \phi^L \nabla^\rho \phi^M \nabla^\sigma \phi^N + \nabla^\mu \phi^K \nabla^\nu \phi^M \nabla^\rho \phi^L \nabla^\sigma \phi^N$$
$$+ \nabla^\mu \phi^K \nabla^\nu \phi^N \nabla^\rho \phi^M \nabla^\sigma \phi^L + \nabla^\mu \phi^M \nabla^\nu \phi^N \nabla^\rho \phi^K \nabla^\sigma \phi^L + \nabla^\mu \phi^L \nabla^\nu \phi^N \nabla^\rho \phi^K \nabla^\sigma \phi^M$$
$$+ \nabla^\mu \phi^M \nabla^\nu \phi^L \nabla^\rho \phi^K \nabla^\sigma \phi^N)$$
$$\alpha = \alpha(\phi^I, X^{JK})$$

→ some restrictions on α -s?

Summary

Can we construct some degenerate multi-scalar-tensor theories?

① $(\nabla\nabla\phi^I)^1$ the most general degenerate Lagrangian is

$$\mathcal{L} = \sqrt{-g} \left[\frac{^{(4)}R}{2} - \mathcal{A}_{(IJ)K}(\phi^L, X^{MN}) \nabla_\mu \phi^I \nabla^\nu \phi^J \nabla_\nu \nabla^\mu \phi^K \right]$$

with $\mathcal{A}_{(IJ)K} = \mathcal{A}_{(JK)I}$, $\frac{\partial \mathcal{A}_{(MN)I}}{\partial X^{KJ}} = \frac{\partial \mathcal{A}_{(MN)K}}{\partial X^{IJ}}$

(EOMs $\sim \nabla\nabla\phi^I$)

There are no “nontrivially degenerate” case in linear order of $\nabla\nabla\phi^I$

② $(\nabla\nabla\phi^I)^2$ difficult to calculate $S_{[IJ]} = 0$

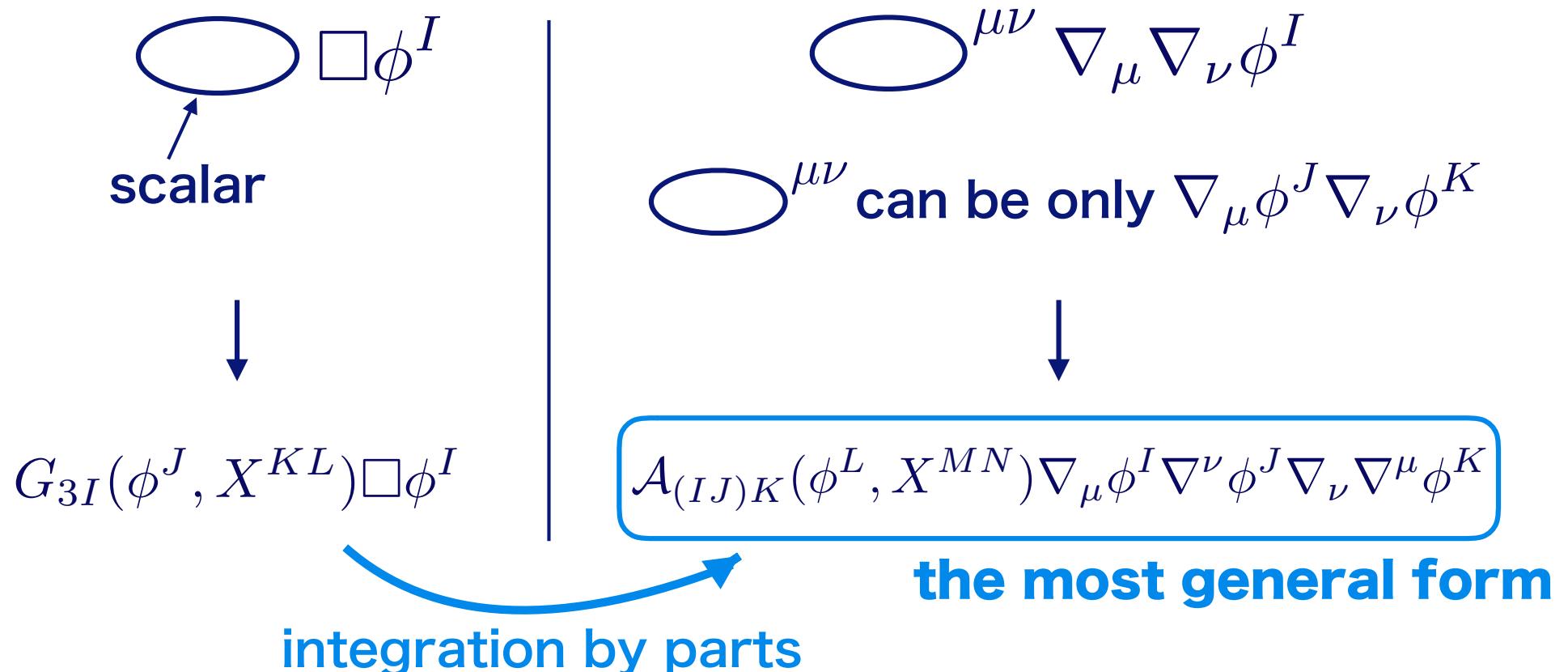
→ cosmological perturbation
(work in progress)

back up

The linear Lagrangian

linear of $\nabla\nabla\phi^I$: $\nabla_\mu\nabla_\nu\phi^I$ or $\square\phi^I$

Lagrangian is a scalar



Hamiltonian analysis

$$\text{physical DOFs} = \left\{ \begin{array}{l} \text{(canonical variables)} \\ -2 \times (\text{1st-class constraints}) \\ -(\text{2nd-class constraints}) \end{array} \right\}$$

canonical variables:

$$(\gamma_{ij}, \pi^{ij}), (N, \pi_N), (N^i, \pi_i), (\phi^I, p_I), (A_*^I, B_I^*), (\lambda_I, \Lambda^I)$$

1st-class constraints:

$$\pi_N \approx 0, \pi_i \approx 0, \mathcal{H}_0 \approx 0, \mathcal{H}_i \approx 0$$

2nd-class constraints:

$$\Lambda^I \approx 0, \Phi_I^{(1)} \equiv p_I - \lambda_I \approx 0, \Phi_I^{(2)} \equiv B_I^* - 2\sqrt{\gamma}\mathcal{C}_I \approx 0$$

degeneracy conditions

→ another 2nd-class $\Psi_I \approx 0$

#

10+3N

8

3N+N

physical DOFs = 2x(10+3N)-2x8-(3N+N)

= N+2

stable owing to $\Psi_I \approx 0$

Hamiltonian analysis (1)

new variables:

$$\partial_\mu \phi^I \equiv A_\mu^I \quad \rightarrow \quad A_*^I \equiv \frac{1}{N} (A_0^I - N^i A_i^I) \sim \dot{\phi}^I$$

$$V_*^I \equiv \frac{1}{N} (\dot{A}_*^I - A_I^i D_i N - N^i D_i A_*^I) \sim \ddot{\phi}^I$$

rewriting Lagrangian:

$$\mathcal{L} = N\sqrt{\gamma} [2\mathcal{C}^{ij} \textcolor{red}{K}_{ij} + \mathcal{K}^{ij,kl} \textcolor{red}{K}_{ij} K_{kl} + 2\mathcal{C}_I V_*^I - \mathcal{U}] + \lambda_I (\dot{\phi}^I - N A_*^I - N^i D_i \phi^I)$$

$$\left\{ \begin{array}{l} 2\mathcal{C}^{ij} := \mathcal{A}_{(IJ)K} \gamma^{ik} \gamma^{jl} (D_l \phi^I D_k \phi^J A_*^K - 2D_k \phi^J D_l \phi^K A_*^I) \\ \mathcal{K}^{ij,kl} := \frac{1}{2} (\gamma^{ik} \gamma^{jl} - \gamma^{ij} \gamma^{kl}) \\ 2\mathcal{C}_K := -\mathcal{A}_{(IJ)K} A_*^I A_*^J \\ \mathcal{U} := -\frac{R}{2} + \mathcal{A}_{(IJ)K} (\gamma^{ik} \gamma^{jl} D_k \phi^I D_l \phi^J D_i D_j \phi^K - 2\gamma^{ij} D_j \phi^J A_*^I D_i A_*^K) \end{array} \right.$$

canonical variables:

$$(\gamma_{ij}, \pi^{ij}), \quad (N, \pi_N), \quad (N^i, \pi_i), \quad (\phi^I, p_I), \quad (A_*^I, B_I^*), \quad (\lambda_I, \Lambda^I)$$

Hamiltonian analysis (2)

primary constraints:

$$\pi_N \approx 0, \quad \pi_i \approx 0, \quad \Lambda^I \approx 0,$$

“satisfied on the
constraint surface”

$$\Phi_I^{(1)} \equiv p_I - \lambda_I \approx 0, \quad \Phi_I^{(2)} \equiv B_I^* - 2\sqrt{\gamma}C_I \approx 0$$

consistency conditions of $\Phi_I^{(2)} \approx 0$: $\dot{\Phi}_I^{(2)} \approx 0$

$$\dot{\Phi}_I^{(2)} \ni \{\Phi_I^{(2)}, \Phi_J^{(2)}\}$$

$$\rightarrow \{\Phi_I^{(2)}, \Phi_J^{(2)}\} = 2\sqrt{\gamma} \left[\underbrace{2(\mathcal{A}_{(IK)J} - \mathcal{A}_{(JK)I}) A_*^K}_{+ \left(\frac{\partial \mathcal{A}_{(LK)J}}{\partial X^{IM}} - \frac{\partial \mathcal{A}_{(LK)I}}{\partial X^{JM}} \right) A_*^K A_*^L A_*^M} \right] = 0$$

secondary constraints:

$$\mathcal{H}_0 \approx 0, \quad \mathcal{H}_i \approx 0, \quad \Psi_I \approx 0$$

physical DOFs = $\left\{ \begin{array}{l} \text{(canonical variables)} \\ -2 \times (\text{1st-class constraints}) \\ -(\text{2nd-class constraints}) \end{array} \right\} = N + 2$

stable owing to $\Psi_I \approx 0$