

QUANTUM MECHANICS OF LEPTOGENESIS

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Quantum Mechanics of Leptogenesis?

- Why do we need a full QFT treatment of leptogenesis?
- How can a QFT treatment of leptogenesis be formulated?
 - full QFT treatment applicable to any production and freezeout processes in a thermal bath!!!
- example for full QFT computation of an asymmetry generation in realistic seesaw model
- not yet a full theory of "historical" leptogenesis as some important ingredients (expansion, certain "washout" processes, SM widths, spectator processes) are simplified/neglected. . .
- . . . but first principles computation without semiclassical assumptions (reference to particle numbers/asymptotic states, molecular chaos, kinetic equilibrium, close to equilibrium, . . .) and related problems (RIS subtraction, definition of asymptotic states, coherence, flavour issues)

⇒ allows comparison between QFT and Boltzmann!

Thermal Leptogenesis

$$\mathcal{L} = \mathcal{L}_{SM} + i\bar{\nu}_R \not{\partial} \nu_R - \bar{l}_L \tilde{\Phi} \lambda \nu_R - \frac{1}{2} \bar{\nu}_R^c M \nu_R + h.c.$$

- explains small neutrino masses via **see-saw mechanism**
- fulfils Sakharov conditions
 - complex phases **violate CP**
 - singlet fermions are **out of equilibrium**
 - **B-violating** sphaleron processes can transfer asymmetry to baryonic sector

Thermal Leptogenesis

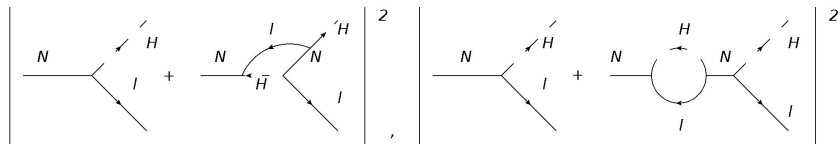
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⇒ **baryon asymmetry can be generated**

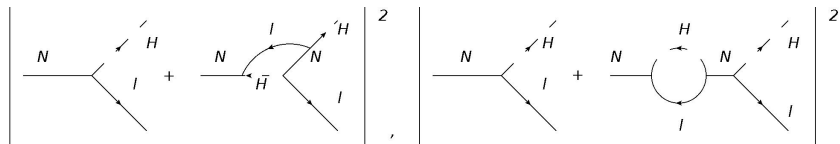
Quantum Genesis

- usually studied in terms of semiclassical Boltzmann equations (classical particle numbers, collision terms from vacuum S-matrix)
- creation (of matter) from interference is a quantum effect



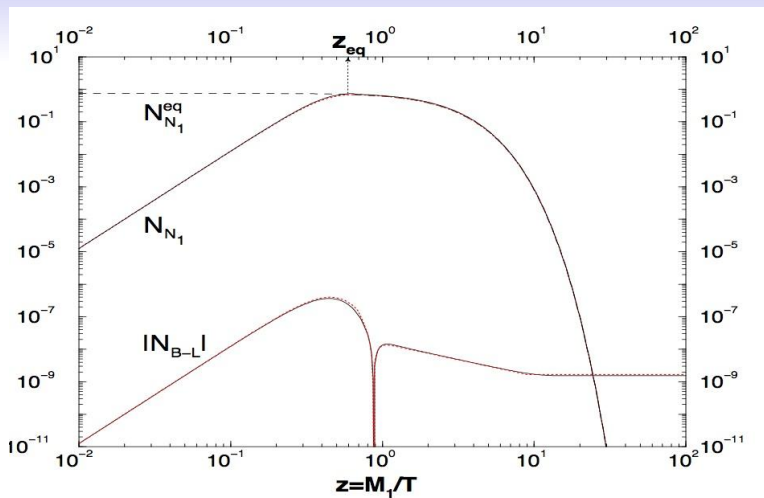
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conceptual problems in the semi-classical description

- non-Markovian / memory effects
- no asymptotic states / particle number in omnipresent plasma
- off-shell effects
- flavour effects: coherent oscillations, quantum zeno effect...
- modified spectrum (quasiparticles, collective excitations...)



Buchmüller/Plümacher 2002

Methods

- Boltzmann equations (BE)
- quantum Boltzmann equations (QBE)
- (effective) kinetic equations for reduced density matrices
- Kadanoff-Baym equations (KBE)

Is a Quantum Treatment possible?

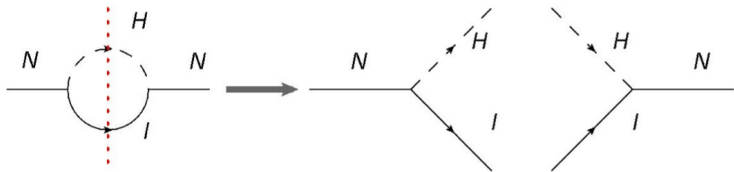
- spacial homogeneity
- weak coupling \Rightarrow perturbative
- background plasma is in equilibrium
- backreaction can be neglected

'Weak coupling to a thermal bath'

Boltzmann vs Kadanoff-Baym Equations

- **initial value problem** for density matrix $\rho(t)$...
- ... or for correlation functions $\langle \dots \rangle = \text{tr}(\rho \dots)$
- KBE contain **full quantum mechanics**

particle numbers \Leftrightarrow correlation functions
 collision term \Leftrightarrow self energies



Statistical and Spectral Propagators

$$\Delta^+(x_1, x_2) = \frac{1}{2} \langle \{ \Phi(x_1), \Phi(x_2) \} \rangle_c$$

$$\Delta^-(x_1, x_2) = i \langle [\Phi(x_1), \Phi(x_2)] \rangle_c$$

$$S_{\alpha\beta}^+(x_1, x_2) = \frac{1}{2} \langle [\Psi(x_1)_\alpha, \bar{\Psi}_\beta(x_2)] \rangle_c$$

$$S_{\alpha\beta}^-(x_1, x_2) = i \langle \{ \Psi(x_1)_\alpha, \bar{\Psi}_\beta(x_2) \} \rangle_c$$

$$G_{\alpha\beta}^+(x_1, x_2) = \frac{1}{2} \langle [N_\alpha(x_1), N_\beta(x_2)] \rangle_c$$

$$G_{\alpha\beta}^-(x_1, x_2) = i \langle \{ N_\alpha(x_1), N_\beta(x_2) \} \rangle_c$$

Equilibrium: **KMS-relations**, e.g. $\Delta_{\mathbf{q}}^+(\omega) = -i \left(\frac{1}{2} + f_\phi^{\text{eq}}(\omega) \right) \Delta_{\mathbf{q}}^-(\omega)$
 \Rightarrow **equilibrium propagators not independent, Bose/Fermi statistics**

Kadanoff Baym Equations

$$\begin{aligned}
 C(i\partial_1 - m)G^-(x_1, x_2) &= - \int d^3\mathbf{x}' \int_{t_2}^{t_1} dt' \Sigma^-(x_1, x') G^-(x', x_2) \\
 C(i\partial_1 - m)G^+(x_1, x_2) &= \int d^3\mathbf{x}' \int_{t_i}^{t_1} dt' \Sigma^-(x_1, x') G^+(x', x_2) \\
 &\quad + \int d^3\mathbf{x}' \int_{t_i}^{t_2} dt' \Sigma^+(x_1, x') G^-(x', x_2)
 \end{aligned}$$

Weak Coupling to a thermal Bath

- dressed spectral propagators in LO **time translation invariant**
- KBE are equivalent to a stochastic **Langevin equation**
- KBE **can be solved analytically** up to a *memory integral*

Lepton Asymmetry

- observables can be computed from correlation functions

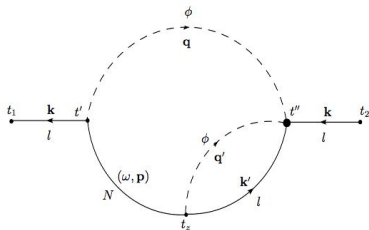
Lepton Number Matrix

$$L_{\mathbf{k}ij}(t_1, t_2) = -\text{tr}[\gamma^0 S_{\mathbf{k}ij}^+(t_1, t_2)].$$

- asymmetry is given by $L_{\mathbf{k}ij}(t, t)$
- equation of motion for $L_{\mathbf{k}ij}(t, t)$ can be derived from KBE for statistical lepton propagator S^+
- knowledge of the nonequilibrium propagators allows to compute Feynman diagrams
- additional complication due to explicit time dependence
- Since leptogenesis comes from a LO-NLO interference, we need the **dressed NLO nonequilibrium lepton propagators!**

Lepton Asymmetry

- for $L_{kij}(t, t)$, only the CP violating part of the self energy is relevant
- hierarchical masses: the diagram that generates the asymmetry is



- source of the deviation from equilibrium is the **nonequilibrium Majorana propagator**

Spectral Majorana Propagator

$$G_{\mathbf{q}}^-(t_1 - t_2) = i \int \frac{d\omega}{2\pi} e^{-i\omega(t_1 - t_2)} \rho_{\mathbf{q}}(\omega)$$

$$\rho_{\mathbf{q}}(\omega) = \left(\frac{i}{\not{q} - m - C\Sigma_{\mathbf{q}}^R(\omega) + i\psi\epsilon} - \frac{i}{\not{q} - m - C\Sigma_{\mathbf{q}}^A(\omega) - i\psi\epsilon} \right) C^{-1}$$

- (quasi)poles of ρ give **spectrum of resonances**
- determined by retarded self energy Σ^R
- $\Sigma^R = \Sigma^R|_{T=0} + \delta\Sigma^R(T)$ has a **vacuum part** and a **correction due to the medium**
- **rich phenomenology** (flavour structure, collective excitations...) can be encoded therein

Statistical Majorana Propagator

$$G_{\mathbf{q}}^+(t_1, t_2) = -G_{\mathbf{q}}^-(t_1) C \gamma^0 G_{\mathbf{q}}^+(0, 0) \gamma^0 C^{-1} G_{\mathbf{q}}^-(-t_2) \\ + \int_0^{t_1} dt' G_{\mathbf{q}}^-(t_1 - t') \int_0^{t_2} dt'' C^{-1} \Sigma_{\mathbf{q}}^+(t' - t'') G_{\mathbf{q}}^-(t'' - t_2)$$

- no restriction on the size of initial deviation from equilibrium!
- no a priori parameterisation of the propagators by distribution functions!

Statistical Majorana Propagator

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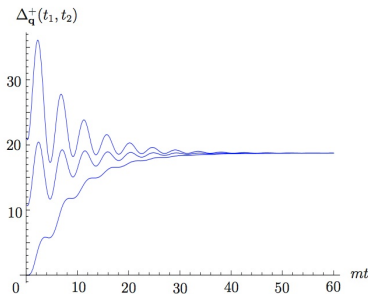
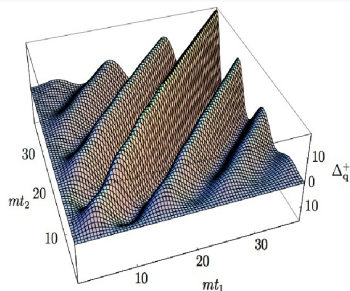
- no restriction on the size of initial deviation from equilibrium!
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In the narrow width limit for vanishing initial particle number:

$$G_{\mathbf{q}}^+(t; y) = - \left(i\gamma_0 \sin(\omega_{\mathbf{q}} y) - \frac{M - \mathbf{q}\gamma}{\omega_{\mathbf{q}}} \cos(\omega_{\mathbf{q}} y) \right) \\ \times \left(\frac{1}{2} \tanh(\beta\omega_{\mathbf{q}}/2) e^{-\Gamma_{\mathbf{q}}|y|/2} + f_N^{eq}(\omega_{\mathbf{q}}) e^{-\Gamma_{\mathbf{q}} t} \right) C^{-1}$$

with $v = t_1 - t_2$, $t = (t_1 + t_2)/2$ and $\Gamma \propto \text{disc}\Sigma$

The Statistical Propagator



- depends on **two time arguments**
- **equilibrates independent of initial conditions** after characteristic time $\tau \sim 1/\Gamma$
- **oscillates** with plasma frequency

$$\begin{aligned}
L_{\mathbf{k}ij}(t, t) \supset & -\epsilon_{ij} 8\pi \int_{\mathbf{q}, \mathbf{q}'} \frac{\mathbf{k} \cdot \mathbf{k}'}{|\mathbf{k}| |\mathbf{k}'| \omega} f_{l\phi}(k, q) f_{l\phi}(k', q') f_N^{eq}(\omega) \\
& \times \frac{\frac{1}{2}\Gamma}{((\omega - k - q)^2 + \frac{\Gamma^2}{4})(\omega - |\mathbf{k}'| - |\mathbf{q}'|)^2 + \frac{\Gamma^2}{4}} \\
& \times \left(\cos[(|\mathbf{k}| + |\mathbf{q}| - |\mathbf{k}'| - |\mathbf{q}'|)t] + e^{-\Gamma t} \right. \\
& \left. - (\cos[(\omega - |\mathbf{k}| - |\mathbf{q}|)t] + \cos[(\omega - |\mathbf{k}'| - |\mathbf{q}'|)t]) e^{-\frac{\Gamma t}{2}} \right),
\end{aligned}$$

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\end{aligned}$$

with $\mathbf{p} = \mathbf{q} + \mathbf{k} = \mathbf{q}' + \mathbf{k}'$

$$\int_{\mathbf{p}} \dots = \int \frac{d^3 p}{(2\pi)^3 2\omega_{\mathbf{p}}} \dots$$

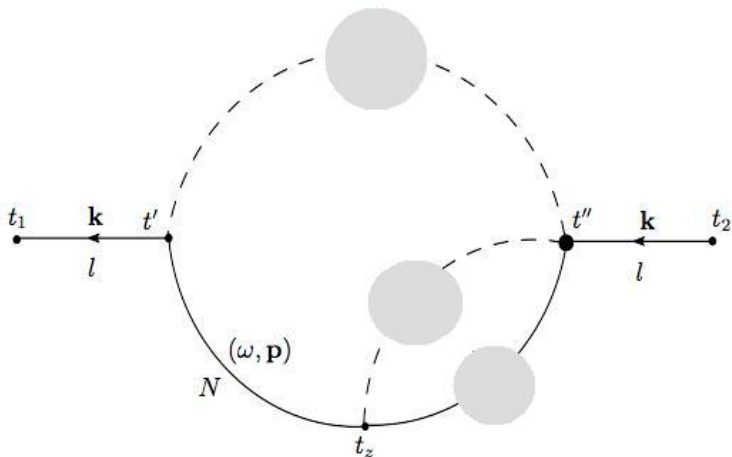
$$\begin{aligned}
f_{l\phi}(k, q) &= f_l(k) f_{\phi}(q) + (1 - f_l(k))(1 + f_{\phi}(q)) \\
&= 1 - f_l(k) + f_{\phi}(q)
\end{aligned}$$

Comparison to Boltzmann Result

$$\begin{aligned}
 L_{kij}(t, t) &\supset -\epsilon_{ij} \frac{16\pi}{|\mathbf{k}|} \int_{\mathbf{q}, \mathbf{q}'} \frac{\mathbf{k} \cdot \mathbf{k}'}{|\mathbf{k}'| \omega} f_{l\phi}(k, q) f_N^{\text{eq}}(\omega) f_{l\phi}(k', q') \\
 &\times \frac{\frac{1}{4}\Gamma}{((\omega - k - q)^2 + \frac{\Gamma^2}{4})(\omega - |\mathbf{k}'| - |\mathbf{q}'|)^2 + \frac{\Gamma^2}{4}} \\
 &\times \left(\cos[(|\mathbf{k}| + |\mathbf{q}| - |\mathbf{k}'| - |\mathbf{q}'|) t] + e^{-\Gamma t} \right. \\
 &\left. - (\cos[(\omega - |\mathbf{k}| - |\mathbf{q}|) t] + \cos[(\omega - |\mathbf{k}'| - |\mathbf{q}'|) t]) e^{-\frac{\Gamma t}{2}} \right),
 \end{aligned}$$

$$\begin{aligned}
 f_{Li}(t, k) &= -\epsilon_{ij} \frac{16\pi}{|\mathbf{k}|} \int_{\mathbf{q}, \mathbf{p}, \mathbf{q}', \mathbf{k}'} \mathbf{k} \cdot \mathbf{k}' f_{l\phi}(k, q) f_N^{\text{eq}}(\omega) \\
 &\times \frac{1}{\Gamma} (2\pi)^4 \delta^4(k + q - p) (2\pi)^4 \delta^4(k' + q' - p) \\
 &\times (1 - e^{-\Gamma t})
 \end{aligned}$$

Inclusion of SM widths



Inclusion of SM widths

$$\begin{aligned} \tilde{L}_{kij}(t, t) &\supset -\epsilon_{ij} \frac{16\pi}{|\mathbf{k}|} \int_{\mathbf{q}, \mathbf{q}'} \frac{\mathbf{k} \cdot \mathbf{k}'}{|\mathbf{k}'| \omega} f_{l\phi}(k, q) f_N^{\text{eq}}(\omega) f_{l\phi}(k', q') \\ &\times \frac{1}{\Gamma} \frac{\frac{1}{4} \Gamma_{l\phi} \Gamma_{\phi}}{((\omega - k - q)^2 + \frac{1}{4} \Gamma_{l\phi}^2) ((\omega - k' - q')^2 + \frac{1}{4} \Gamma_{\phi}^2)} \\ &(1 - e^{-\Gamma t}) \end{aligned}$$

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Conclusion

- leptogenesis is a nonequilibrium quantum process
- semiclassical methods suffer from severe conceptual problems
- KBE allow full quantum treatment
- solutions can deviate significantly from BE due to memory, coherent and off-shell effects
- however, for narrow widths, hierarchical masses and fast SM interactions the BE appear to be recovered
- physical picture: fast interactions restore locality by reducing the coherence length
- BUT: in more complex scenarios of leptogenesis (flavoured, resonant. . .) there may be nontrivial effects