

# Scalar perturbations induced by the second-order effects of primordial gravitational waves

Tomohiro Nakama  
RESCEU

(D2, JSPS Research Fellow)

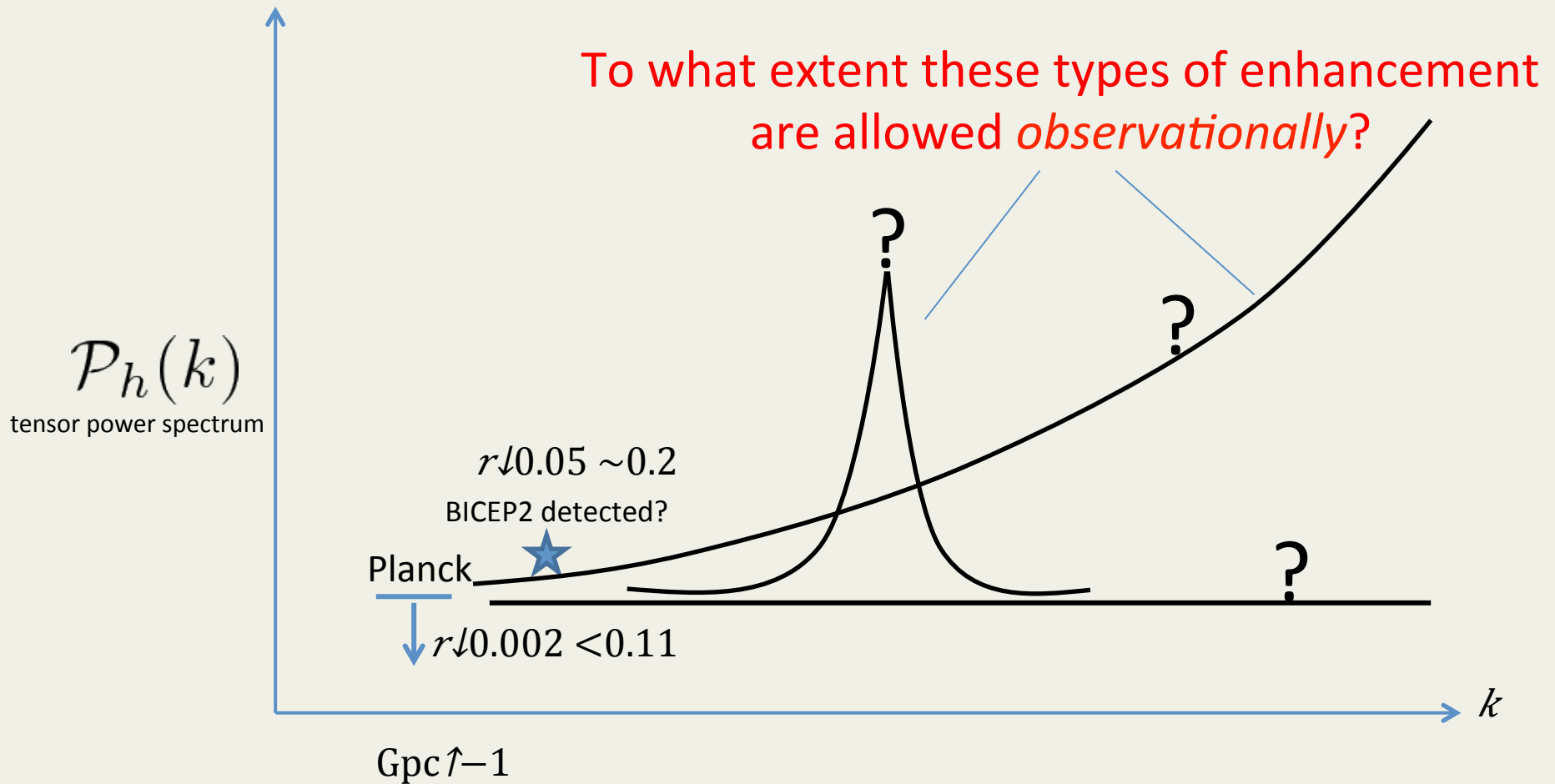
in collaboration with

Teruaki Suyama & Jun'ichi Yokoyama



# Motivation:

## Investigating primordial tensor perturbations on small scales



Related works: Ota et al. (2014),  
Chluba et al. (2014)

# Induced scalar perturbations

- Assume tensor pert.  $\gg$  scalar pert. on small scales.
- Then scalar perturbations are generated due to the second order effects of tensor pert.
- If tensor pert. is sufficiently large, induced scalar pert. becomes large so that PBHs are overproduced.
- We can place upper bounds on tensor pert. requiring PBHs are not overproduced.

# PBHs formed from collapse of primordial inhomogeneities during R.D.

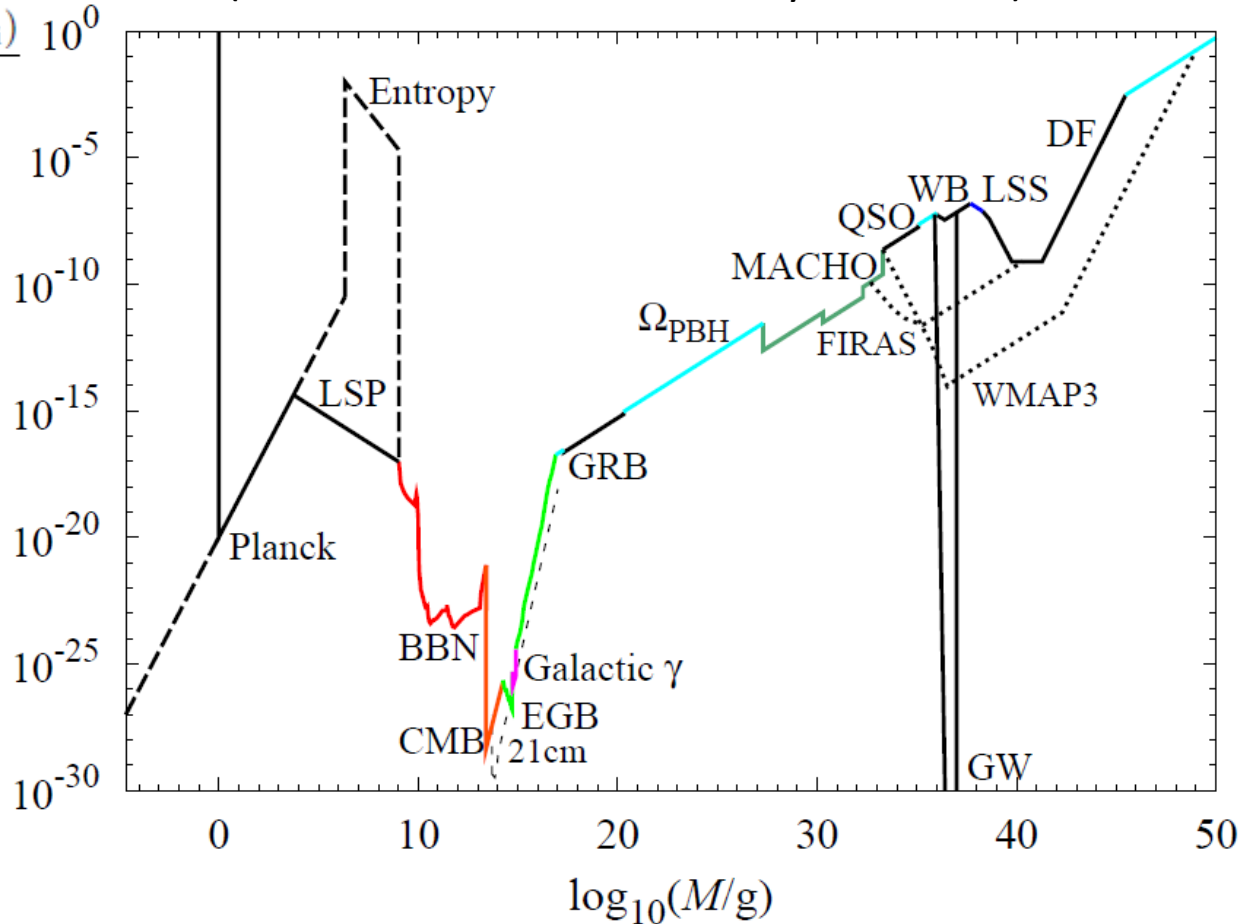
- If  $1/3 \lesssim \bar{\delta}_{r,cr}$  in some region at horizon crossing, this region collapses to form a black hole (PBH) during R.D..  
(Carr 1975)
- The mass can take various values depending on when they were formed.
- They could be detected by microlensing or emit high-energy particles or form binaries and emit gravitational waves.
- So far only upper bounds of the abundance of PBHs have been obtained on various mass scales.

# Observational constraints on PBHs of various masses

abundance of PBHs  
when they were formed

(Carr, Kohri, Sendouda, Yokoyama, 2010)

$$\sim \beta(M) \equiv \frac{\rho_{\text{PBH}}(t_i)}{\rho(t_i)} 10^0$$



have been evaporated by now  
due to Hawking radiation

can still exist in our universe

large tensor pert.  
on small scales  $\Rightarrow$  induced scalar pert.  $\Rightarrow$  overproduction of PBHs



obtain upper bounds on initial tensor pert.  
to avoid overproduction of PBHs.

# Formulation

## Metric

$$ds^2 = a^2[-(1 + 2\Phi)d\eta^2 - 2B_{,i}d\eta dx^i + ((1 - 2\Psi)\delta_{ij} - 2h_{ij})dx^i dx^j]$$

$\eta$ : conformal time

## The Einstein equations

$$\Delta\Psi - 3\mathcal{H}(\Psi' + \mathcal{H}\Phi) - \mathcal{H}\Delta B + S_1 = 4\pi G a^2 \delta\rho$$

$$(\Psi' + \mathcal{H}\Phi + S_2)_{,i} = 0$$

$$\Psi'' + \mathcal{H}(2\Psi + \Phi)' + (2\mathcal{H}' + \mathcal{H}^2)\Phi + \frac{1}{2}\Delta(\Phi - \Psi + B' + 2\mathcal{H}B) + S_3 + S_4 = 4\pi G a^2 \delta p$$

$$(\Phi - \Psi + B' + 2\mathcal{H}B - 2S_5)_{,ij} = 0$$

## The conservation of energy-momentum tensor

$$\delta\rho' + 3\mathcal{H}(\delta\rho + \delta p) - (\rho + p)\Delta B - 3(\rho + p)\Psi' - 2(\rho + p)h^{ij}h'_{ij} = 0$$

$$\partial_i(\delta p + (\rho + p)\Phi) = 0$$

# Source terms

$$S_1 \equiv -\frac{1}{4}h'_{ij}h^{ij'} - 2\mathcal{H}h_{ij}h^{ij'} + h_{ij}\Delta h^{ij} - \frac{1}{2}\partial_j h_{ik}\partial^k h^{ij} + \frac{3}{4}\partial_k h_{ij}\partial^k h^{ij},$$

$$\Delta S_2 = \partial^i S_i,$$

$$S_i = -h^{jk}\partial_k h'_{ij} + \frac{1}{2}h^{jk'}\partial_i h_{jk} + h^{jk}\partial_i h'_{jk}$$

$$S_3 \equiv \frac{3}{4}h'_{ij}h^{ij'} + h_{ij}h^{ij''} + 2\mathcal{H}h_{ij}h^{ij'} - h_{ij}\Delta h^{ij} + \frac{1}{2}\partial_j h_{ik}\partial^k h^{ij} - \frac{3}{4}\partial_k h_{ij}\partial^k h^{ij}$$

$$\Delta S_4 = \frac{1}{2}(\Delta S^i_i - \partial^i \partial^j S_{ij}),$$

$$\Delta^2 S_5 = \frac{1}{2}(3\partial^i \partial^j S_{ij} - \Delta S^i_i),$$

$$S_{ij} \equiv -h_i^{k'}h'_{jk} - h_{ik}h_j^{k''} - 2\mathcal{H}h_i^k h'_{jk} + h^{kl}\partial_k \partial_l h_{ij} + h_i^k \Delta h_{jk} - h^{kl}\partial_l \partial_i h_{jk} - h^{kl}\partial_l \partial_j h_{ik} \\ - \partial_k h_{jl}\partial^l h_i^k + \partial_l h_{jk}\partial^l h_i^k + \frac{1}{2}\partial_i h_{kl}\partial_j h^{kl} + h^{kl}\partial_i \partial_j h_{kl}.$$



scalar pert.

$$\Delta\Psi - 3\mathcal{H}(\Psi' + \mathcal{H}\Phi) - \mathcal{H}\Delta B + S_1 = 4\pi G a^2 \delta\rho$$

source  $\sim \mathcal{O}(h_{ij}^2)$

$$S_1 \equiv -\frac{1}{4}h'_{ij}h^{ij'} - 2\mathcal{H}h_{ij}h^{ij'} + h_{ij}\Delta h^{ij} - \frac{1}{2}\partial_j h_{ik}\partial^k h^{ij} + \frac{3}{4}\partial_k h_{ij}\partial^k h^{ij}$$

prime:  $\partial/\partial t$

Scalar pert. are generated due to the source terms.

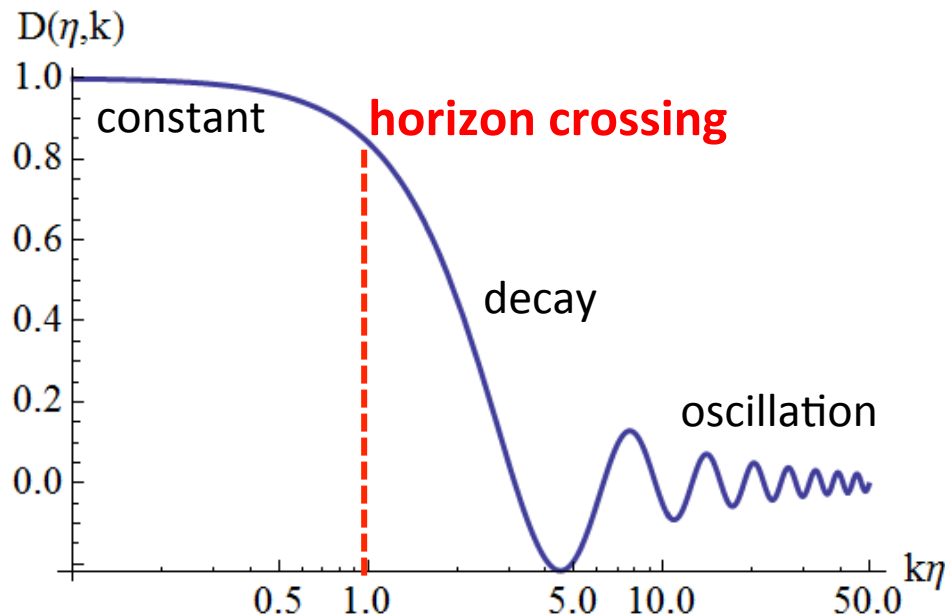
# Specifying the initial condition

$$h_{ij}(\eta, \mathbf{x}) = \int \frac{d^3 \mathbf{k}}{(2\pi)^{3/2}} e^{i\mathbf{k} \cdot \mathbf{x}} (h^+(\eta, \mathbf{k}) e_{ij}^+(\mathbf{k}) + h^\times(\eta, \mathbf{k}) e_{ij}^\times(\mathbf{k}))$$

$$h^{\leftarrow + \text{ or } \times}(\eta, \mathbf{k}) = \underset{\substack{\uparrow \\ \text{Growth factor: } \sin k\eta / k\eta}}{D(\eta, k)} h^{\leftarrow}(\mathbf{k})$$

initial amplitude

(  $\leftarrow h''_{ij} + 2\mathcal{H}h'_{ij} - \Delta h_{ij} = 0$  )



# Specifying the initial condition

$$h_{ij}(\eta, \mathbf{x}) = \int \frac{d^3 \mathbf{k}}{(2\pi)^{3/2}} e^{i\mathbf{k}\cdot\mathbf{x}} (h^+(\eta, \mathbf{k}) e_{ij}^+(\mathbf{k}) + h^\times(\eta, \mathbf{k}) e_{ij}^\times(\mathbf{k}))$$

$$h^{\leftarrow + \text{ or } \times}(\eta, \mathbf{k}) = D(\eta, k) h^{\leftarrow}(\mathbf{k}) \quad \text{initial amplitude}$$

- The definition of the initial power spectrum:

$$\langle h^r(\mathbf{k}) h^s(\mathbf{K}) \rangle = \frac{2\pi^2}{k^3} \delta(\mathbf{k} + \mathbf{K}) \delta_{rs} \mathcal{P}_h(k)$$

- As an illustration, we consider a delta-function like power spectrum

$$\mathcal{P}_h(k) = \mathcal{A}^2 k \delta(k - k_p)$$

↑  
amplitude

↑  
position of spike

# Calculation of the power spectrum of the density perturbation

$$\mathcal{P}_h(k) = \mathcal{A}^2 k \delta(k - k_p)$$

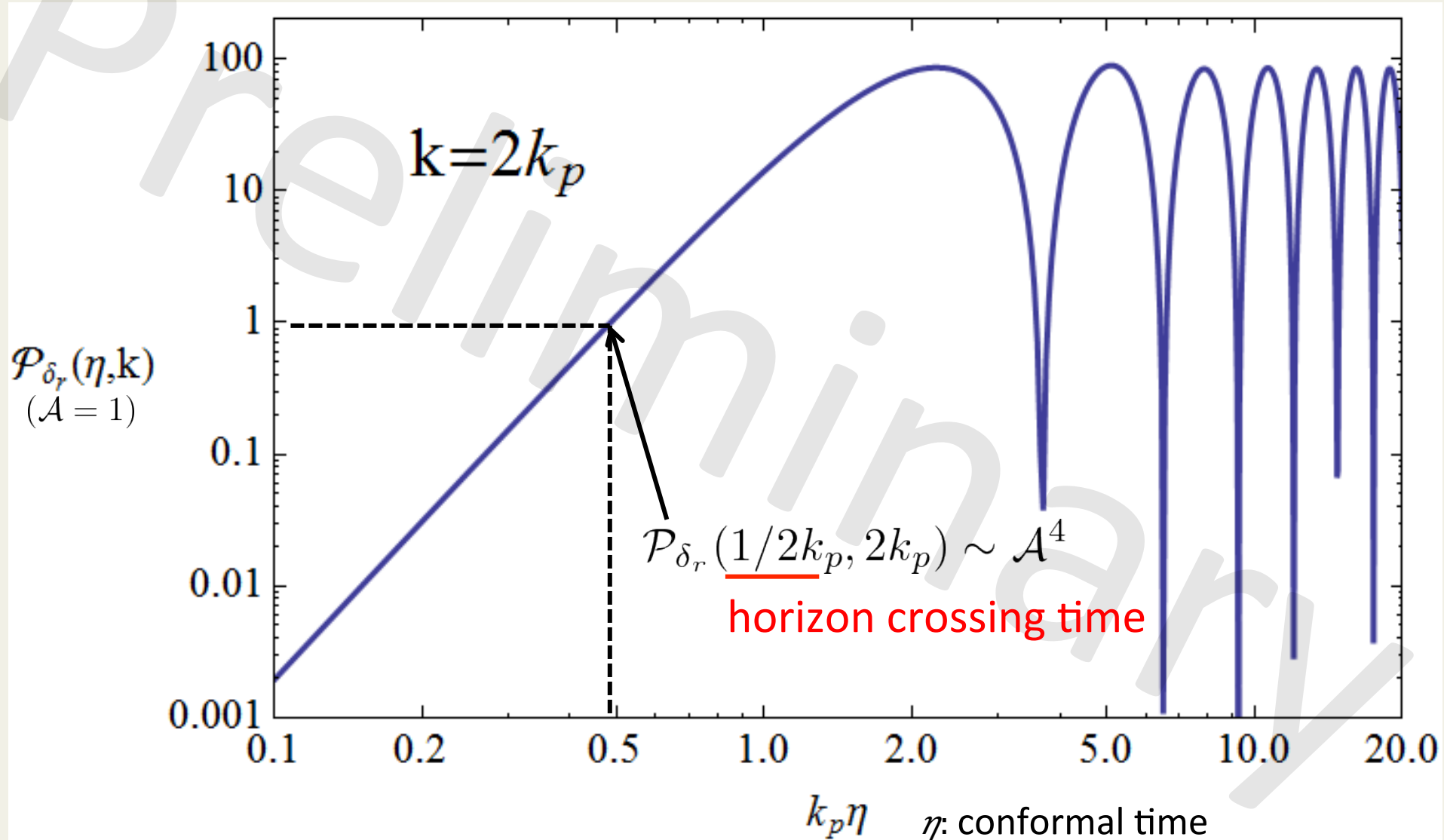
$$\mathcal{P}_{\delta_r}(\eta, k) = \left(\frac{1 + c_s^2}{c_s^2}\right)^2 \mathcal{A}^4 \left(\frac{k}{k_p}\right)^2 \eta^2 \Theta \left(1 - \frac{k}{2k_p}\right) \sum_{rs} F_{rs} \left(\eta, k, k_p, \frac{k}{2k_p}\right)^2$$

This reflects  $\delta \sim O(\hbar \downarrow ij \uparrow 2)$

$$F_{rs}(\eta, \mathbf{k}, \mathbf{k}') \equiv \int d\tilde{\eta} (\tilde{\eta}/\eta) A_{rs}(\tilde{\eta}, \mathbf{k}, \mathbf{k}') (\partial_{\tilde{\eta}} - \mathcal{H}) g_k(\eta, \tilde{\eta})$$

$$+ D(\eta, k') \left\{ -\partial_{\tilde{\eta}} E_1^{rs} + \left(\frac{1}{2} \overleftarrow{\partial}_{\tilde{\eta}} + \partial_{\tilde{\eta}}\right) \left(1 - \frac{k'}{k} \mu\right) E_2^{rs} \right\} D(\eta, |\mathbf{k} - \mathbf{k}'|)$$

# The time evolution of the power spectrum



# Upper bound on the amplitude of primordial tensor perturbations

- PBH formation has to be sufficiently rare to be consistent with observation

$$10 \lesssim \frac{\text{threshold for PBH formation}}{\text{typical amplitude}} \sim \frac{1/3}{\sqrt{\mathcal{P}_{\delta_r}}} \sim \frac{1}{3\mathcal{A}^2}$$

$\rightarrow \mathcal{A}^2 \lesssim 0.03$

$\mathcal{P}_{\delta_r}(1/2k_p, 2k_p) \sim \mathcal{A}^4$

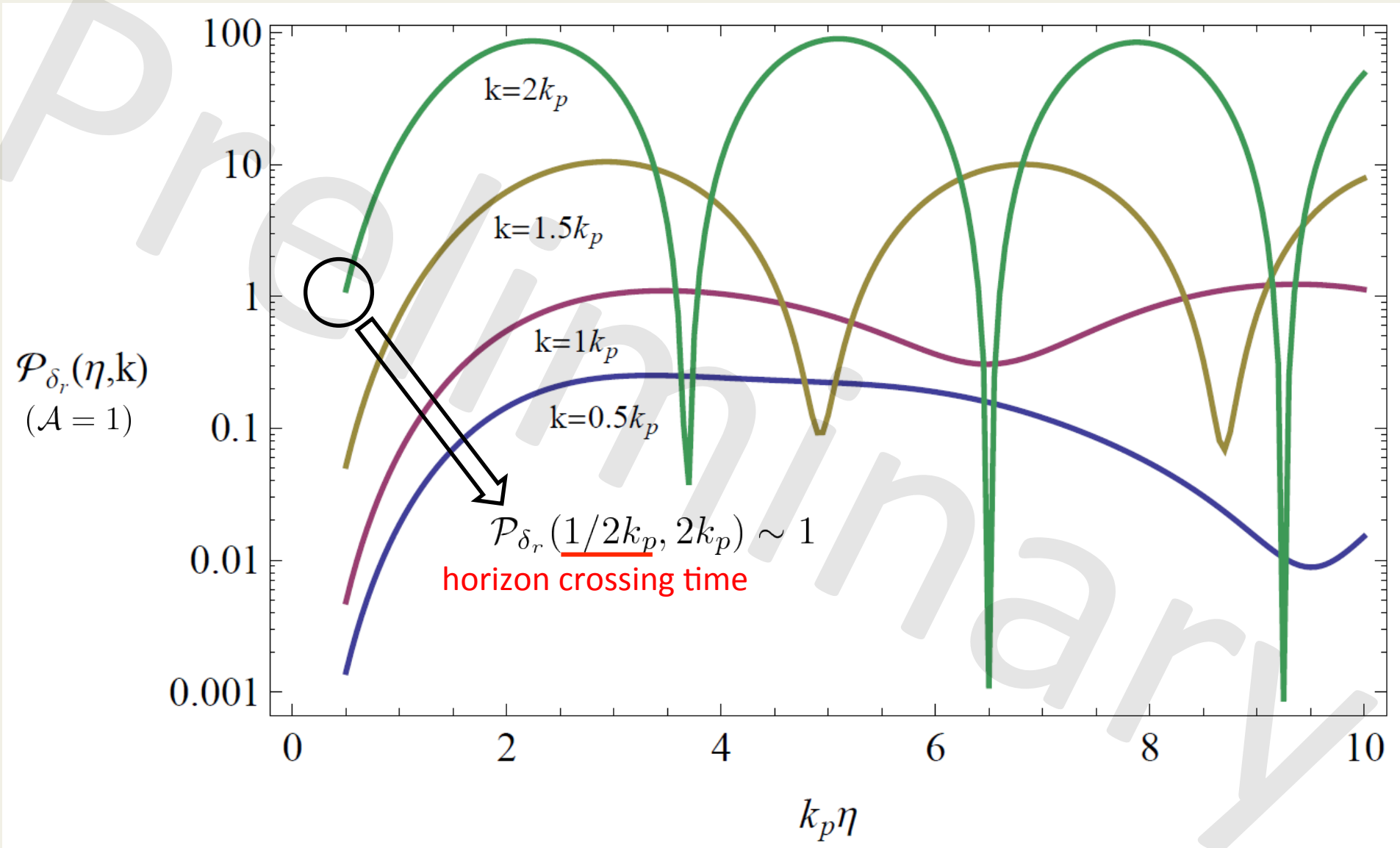
# Summary

- Assume scalar pert.  $\ll$  tensor pert. on small scales.
- Then scalar perturbations are generated due to the second order effects of tensor pert.
- If tensor pert. is sufficiently large, induced scalar pert. becomes large so that PBHs are overproduced.
- We can place upper bounds on tensor pert. requiring it does not cause overproduction of PBHs.

$$\mathcal{P}_h(k) = \mathcal{A}^2 k \delta(k - k_p) \rightarrow \mathcal{A}^2 \lesssim 0.03$$

- Other shapes of power spectrum, upper bounds from ultracompact minihalos,

# The time evolution of the power spectrum





- Combining these equations yields the evolution equation for  $\Psi$ :

$$\Psi'' + 2\mathcal{H}\Psi' + c_s^2 k^2 \Psi = S,$$

$$S \equiv c_s^2 S_1 - S_3 - \hat{k}^i \hat{k}^j S_{ij} + 2c_s^2 \mathcal{H} h^{ij} h'_{ij}$$

- This can be formally solved as

$$\Psi(\eta, \mathbf{k}) = a^{-1}(\eta) \int d\tilde{\eta} g_k(\eta, \tilde{\eta}) a(\tilde{\eta}) S(\tilde{\eta}, \mathbf{k})$$



Green's function

$$g_k'' + \left( c_s^2 k^2 - \frac{a''}{a} \right) g_k = \delta(\eta - \tilde{\eta})$$

- The energy density perturbation is given by

$$\delta_r = \frac{1 + c_s^2}{c_2^2 \mathcal{H}} (\Psi' + S_2)$$

$$S(\eta, \mathbf{k}) = \sum_{rs} \int \frac{d^3 \mathbf{k}'}{(2\pi)^{3/2}} h^r(\mathbf{k}') h^s(\mathbf{k} - \mathbf{k}') A_{rs}(\eta, \mathbf{k}, \mathbf{k}'),$$

$$A_{rs}(\eta, \mathbf{k}, \mathbf{k}') \equiv f_1(\eta, \mathbf{k}, \mathbf{k}') E_1^{rs} + f_2(\eta, \mathbf{k}, \mathbf{k}') E_2^{rs},$$

$$S \rightarrow \left\{ \overleftarrow{\partial}_\eta \partial_\eta - \frac{1}{2}(3 - c_s^2)k^2 + 3kk'\mu - k'^2 \right\} E_1^{rs} +$$

$$\left\{ -\frac{1}{4}(3 + c_s^2)\overleftarrow{\partial}_\eta \partial_\eta + c_s^2 \partial_\eta^2 + 2c_s^2 \mathcal{H} \partial_\eta + \frac{1}{8}(1 - 3c_s^2)k^2 - \frac{1}{2}k'\mu(k - k'\mu) + \frac{3}{4}(1 + c_s^2)k'^2 \right\} E_2^{rs}.$$

