Cosmology with galaxy surveys – an instrument perspective

Michael Seiffert, Jet Propulsion Laboratory, Caltech



Dark Energy in the Universe Okinawa, Japan Summer School, 2009









- Spitzer Space Telescope
- Detectors and cooler for Planck satellite (CMB)
- Mid IR Instrument (MIRI) for JWST
- LBTI
- Keck interferometer
- TMT Primary mirror control system
- Calibration system for Gemini Planet Imager



You may also have heard about ...



Lecture 1: Introduction

Lecture 2: Scientific impacts of instrument design choices

Lecture 3: Physical principles of the instrument and its components - part 1

Lecture 4: Physical principles of the instrument and its components - part 2

Science goals

- Provide a constraint on w (dark energy equation of state) with the Baryon Acoustic Oscillation (BAO) method.
- Constrain the growth rate of structure with the Redshift Distortion (RSD) method.

$$1/\sigma_{\rm w}$$
 ~ V $^{1/2}$ ~ N $^{1/2}$

Galaxy Surveys - Context

Name	Number of Galaxies	Redshift Range (z)	Comments
SDSS + 2dF (Sloan Digital Sky Survey + 2 field galaxy redshift survey)	0.8 x 10 ⁶	< 0.5	Percival et al , MNRAS, 381, 1053 (2007)
BOSS (Baryon Oscillation Sky Survey)	1.5 x 10 ⁶	< 0.7	Funded, beginning http://www.sdss3.org/
FastSound (with FMOS on Subaru)	0.6 x 10 ⁶	~ 1.2	
Wiggle –Z	0.4 x 10 ⁶	0.5 < z < 1.0	In progress http://wigglez.swin.edu.au
JDEM (Joint Dark Energy Mission) or Euclid	?	?	Space mission in planning
WFMOS-SUMIRE on Subaru	> 4 x 10 ⁶	0.7 <z 1.6<="" <="" td=""><td>Proposal stage – concept study complete</td></z>	Proposal stage – concept study complete

Spectroscopic redshifts



"red" galaxy with 4000A break, no strong emission lines



Luminous "blue" galaxy with strong emission lines

- As our ambition rises to measure the redshift of many millions of galaxies to redshifts of 1 and beyond, new instruments are needed.
- For the next step on the ground, we need :
 - Large aperture telescope
 - The ability to measure *thousands* of simultaneous spectra

Building blocks



Telescope

Allows the selection of light from galaxies of interest while rejecting unwanted sky background Fiber optics or other method relays the light of interest

Disperse the light and detect

Object Selection



Photo from SDSS

• Plug Plate

• Slit Mask



Photo from Lick Observatory

Object selection



From Smith et al., SPIE, 5495, 348 (2004)

• None – option for a space mission

2dF Fiber Positioner on the Anglo Australian Telescope





2 degree diameter field of viewApproximately 400 fibers4m telescope

Photos from www.aao.gov.au



Sloan Digital Sky Survey (SDSS)

2.5m telescope located at Apache Pt, New Mexico



640 simultaneous spectra 3 deg diameter field Wavelength coverage 3800-9200 A, Spectral resolution = 1800 York et al., AJ, 120, 1579, (2000)



"plug plate" object selection fiber size 3 arc seconds 55 arc sec closest approach

Photos from www.sdss.org

"Echidna" mechanically tilted fiber spines - used for FMOS on Subaru Telescope



DEIMOS

Spectrometer on the 10m Keck II telescope

Source of the DEEP2 survey, which is one of our best sources of galaxy spectra at redshift > 1.

Key aspects: red sensitive CCDs, no fibers, uses slit masks



DEEP2 : Typically 120 simultaneous spectra over 16.7 x 5' field using mechanically fabricated slit masks



Ref: Faber et al., SPIE, v.4841, p1657 (2003)

PI: Sandra Faber Photos from DEIMOS project: http://www.ucolick.org/deimos

VIMOS

- 4x 7'x8' fields
- Mechanical slit masks
- 840 simultaneous spectra at R~200
- 210 simultaneous spectra at R~2500.
- Nasmyth focus at VLT (European Southern Observatory Very Large Telescope) (8.2 m diameter aperture) (Paranal, Chile)



www.eso.org

WFMOS / SuMiRe – next generation wide field multiobject spectrograph

- On the Subaru Telescope
- Why Subaru?
 - 8.2 m aperture
 - Very good "seeing" (seeing = blur caused by atmospheric turbulence and other effects)
 - Wide Field Corrector at prime focus being built for HyperSuprimeCam (1.5 degree diameter field-of-view)
 - Very stable top end structure

The WFMOS / SuMiRe Concept



Subaru Telescope



Photo credit: Steven Beard, UKATC

Subaru Top End



Photo credit: Steven Beard, UKATC

Subaru Prime Focus Unit

A top end unit holds a major part of the instrument

It is large and heavy

The Subaru Telescope is the most practical large telescope to locate such an instrument



Photo credit: Steven Beard, UKATC

Total number of galaxies observed depends on:

- Telescope time available
- Observing efficiency
- Number of galaxies observed simultaneously
- Time required per galaxy:
 - Telescope Aperture
 - Signal: flux from the galaxy
 - Throughput (how many of the photons from the galaxy make it through the atmosphere and instrument and are eventually detected)
 - Noise: sky noise, shot noise, detector noise
 - Required Signal/Noise ratio per spectral resolution element

Time required (Signal)



Flux in each spectral bin in spectrometer will depend on width of the spectral bin and which part of the galaxy spectrum it is sampling.

Time Required - throughput

- Throughput has many components we will look at these in following lectures
- Major components are:
 - Atmosphere
 - Telescope (mirrors are not perfectly reflective)
 - Corrector optics (glass has some reflection)
 - Alignment of the instrument
 - Fiber optic losses
 - Spectrometer optics and grating
 - Detector efficiency (usually called "quantum efficiency" QE)

Time Required - noise

- Noise has 3 main components:
 - Atmosphere (the sky is not perfectly dark)
 - Shot noise (statistical uncertainty in signal due to the finite number of photons – unimportant for the current discussion)
 - Detector noise (unfortunately, detectors are not perfect!)

Time Required – signal/noise ratio

- We are free to choose the signal/noise ratio that suits our needs. The considerations are:
 - Redshift accuracy and reliability (favors higher signal-noise ratio)
 - Desire to maximize the number of galaxies surveyed. Implies shorter exposure times and lower signal-noise ratio
 - Completeness: We do not necessarily know the line strength of the galaxy perfectly before taking the spectrum! Requiring a higher signal to noise ratio gives some margin so that galaxies are not missed too frequently.

Simplified Signal-Noise Calculation

$$S = f A \tau \Delta \lambda \eta$$
 Signal
 $B_{
m sky} = b_{
m sky} A \Omega_{
m fiber} \tau \Delta \lambda \eta$

 $B_{\rm detector} = i\,\tau\,n_{\rm pix} + {\rm RN}^2\,n_{\rm pix}\,n_{\rm reads} \quad {\rm Detector}\,{\rm noise}$

$$\sigma = \frac{S}{\sqrt{B_{\rm sky} + B_{\rm detector}}}$$

Signal – noise ratio

- f Galaxy flux density
- A Telescope collecting area
- au Total exposure time
- $\Delta\lambda$ Width of spectral bin
- η Throughput
- $b_{
 m skv}$ Sky background flux density
- $\Omega_{fiber}\,$ Solid angle on sky of spectral element
 - *i* Detector dark current
- RN Detector read noise
- $^{n}\mathrm{pix}$ n number of pixels contributing to noise

Next Lecture

- Scientific impact of technical design
 - Detector performance
 - Instrument efficiency
 - wavelength range
 - spectral resolution
 - number of fibers
 - Etc.

Summer School = Test!

Do you know your telescopes?







Planck

Subaru

SDSS, Apache Pt

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Scientific Impacts of technical design

In general, when planning an instrument, one faces many options and decisions.

We want to build the "ideal" instrument – the one the gives the very best performance.

"The *best* instrument is the one that actually gets built" - Mike Werner, Spitzer Project Scientist

Topics

- Target assignment, allocation efficiency, patrol regions
- Spectral Resolution and coverage
- Throughput and exposure time

Choice of Object Selection Mechanism

- In the last lecture we discussed several options for "object selection" : plug-plate, mechanical positioner, etc.
- For considering a new instrument like the SuMIRe spectrometer, it is important to consider the full range of options for object selection. Desired properties:
 - Thousands of elements over a large field
 - Efficient: fast reconfiguration, high throughput
 - Not too expensive!

Tree of positioner alternatives



Patrol Regions



Patrol Region – Area of the focal plane accessible to one fiber (9.5 mm diameter)

Adjacent patrol regions overlap with no gaps

Patrol Region may have zero or may have many potential astronomical targets

Allocation efficiency describes the success rate in assigning targets to fibers


Positioner and Source Allocation



Allocation Efficiency



We have simulated a variety of designs and arrived at the following conclusions:

- For low target densities, degree of overlap between patrol regions is unimportant. Important not to have gaps.
- For high target densities, degree of overlap not important there are many targets in each patrol region to choose from
- For intermediate target densities (target density ~ positioner density) there is some benefit to having larger overlap.

Number of Potential Collisions



Question for students:

- What is the best algorithm for assigning galaxy targets to fibers?
- For the allocation efficiency curves shown previously, I used a simple algorithm that assigns each fiber to the nearest galaxy and iterates once.

Example : Positioner Element – "Cobra"



Survey Density and Exposure Time



Open question:

 Limit the s/n ratio and aggressively optimize for survey speed, possibly at the expense of legacy value of the survey and completeness

Or

• Greater s/n ratio, slower survey speed

What spectral resolution (R = $\lambda/\Delta\lambda$) do we need?

- Emission line galaxies are attractive option for future surveys at redshift of 1 and greater (relatively high flux in narrow width). OII doublet at a rest frame 3727Å is nearly ideal:
 - Bright
 - Doublet with 2.7Å separation allows determination of redshift from this feature alone
- Must be able to resolve the OII doublet reliably (R > 1340)
- Also need sufficient redshift accuracy, e.g, $\Delta z < 0.001$
- There is a penalty for having too much spectral resolution
 - Instrument cost / wavelength coverage
 - Detector read noise starts to have a larger impact

Equivalent Width histograms



EW = spectral width of continuum that has the same flux as the emission line

Data from Team Keck Redshift Survey (TKRS) (Wirth et al, AJ, 127,3121 (2004))

Mauna Kea Sky Brightness (note: OII at z=1 shows up at 7454Å) higher resolution may reduce impact of sky brightness



Sky background is dominated by narrow line emission

Blue solid curve shows the fraction of the total flux contributed by sky lines.

Pink dotted curve shows the fraction of spectrometer pixels contributing to this flux at resolution 5000.



Davis et al, SPIE, 4834, 161, (2003)

 The OII emission line doublet at a rest frame wavelength of 3727Å, when redshifted to 0.7<z<1.6 falls in the wavelength range of : 6300Å - 9700Å

so perhaps this is a good range for the spectrometer.

 But, why this redshift range? Lower redshift end complements BOSS. Higher redshift end starts to put the OII line into a wavelength range where silicon CCD detectors do not work well. What is the acceptable fraction of incorrect redshifts?

- If we operate at very low signal to noise with short exposure times, there is some danger of misidentifying the emission line and producing an incorrect redshift, thus biasing the result.
- We should design the survey to ensure that this is a small (e.g, < 1%) effect.

Throughput and exposure time

As we saw in yesterday's simplified signal-noise calculation:

$$\begin{split} \sigma = \frac{S}{\sqrt{B_{\rm sky} + B_{\rm detector}}} & S = f \, A \, \tau \, \Delta \lambda \, \eta \\ B_{\rm sky} = b_{\rm sky} \, A \, \Omega_{\rm fiber} \, \tau \, \Delta \lambda \, \eta \\ B_{\rm detector} = i \, \tau \, n_{\rm pix} + {\rm RN}^2 \, n_{\rm pix} \, n_{\rm reads} \end{split}$$

- So, for a given σ, the required exposure time scales as 1/η, so we are driven to work hard to improve the throughput. This often means the spectrometer optics and detectors.
- Further, η, is generally a function of wavelength. It is sometimes possible to improve η at one wavelength at the expense of another.

Finally - "Less obvious" impacts

- Configuration time how much time is wasted in moving fibers to prepare for the next observation?
- Non-uniform throughput in field of view
- Background subtraction how this is done in detail can greatly affect required observing time.
- Tradeoffs in throughput versus wavelength
- Exposure time can depend on telescope elevation angle and lunar phase.

Next Lecture

Tour of a spectrometer design

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Tour of an instrument design

With technical contributions from:

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WFMOS System Block Diagram







WFMOS Prime Focus Unit



Wide Field Corrector











1 Positioner Unit - Cobra



Optical coupling versus position in field



Module

- A module is a subassembly of actuators and drive electronics boards
 - Staggered production
 - Parallel module integration
 - Early mechanical and electrical functional testing
 - Parallel fiber integration to reduce schedule
 - Increases serviceability



Positioner Electronics Boards



Motors



Commercially available rotating tube motor: direct drive – no backlash High torque when stationary and unpowered ~ 1 mN-m powered torque 1 µrad resolution 1 – 10 rev/sec speed



Commercially available linear actuator: 0.2 N stall force 6mm throw 0.5 µm resolution 5 mm/sec speed

JPL Prototype Testbed


Video clip of prototype

Fiber Position Measurement

- Metrology cameras view back-illuminated science fibers.
 - Fibers are illuminated in 3 groups of 800 to distinguish fibers in overlapping patrol regions
 - One illumination system in each spectrograph.
- Measurement of science fiber positions is referenced to a system of fixed, back-illuminated fiducial fibers.
 - Optical distortions exist in metrology optics, WFC optics, etc
 - Fixed fiducials provide a reference frame for measuring and modeling distortions
 - Straight-through spectrograph mode used to verify fiber placement accuracy

Metrology Camera

- Four camera systems each looking at a ¼ of the focal plane.
- Located on prime focus support struts looking back at positioner focal plane via primary
- Science fiber position will be established relative to set of fixed fiducial fibers on positioner focal plane



Fiber illumination sequence



The science fibers are back-lit in a sequence to allow discrimination between fibers in the overlap regions between adjacent fibers.

Only a third of the fibers will be illuminated at one time.

In one exposure only the fibers marked (1) are illuminated, the next exposure only the ones marked (2) are illuminated, etc.

Parallel Configuration

- Positioner configuration metrology loop: 40 seconds
 - Positioner moves elements in 3 groups of 800
 - Metrology camera views backilluminated fibers. Fibers are illuminated in 3 groups of 800.
 - Movement, illumination, camera readout, computation in parallel
 - 6 iterations can be completed in < 40 seconds

Start Time	Duration (s)	Steps being Taken in Parallel		
0	1	Move A-1		
1	2	Image A-1	Move B-1	
3	2	Compute A-1	Image B-1	Move C-1
5	2	Move A-2	Compute B-1	Image C-1
7	2	Image A-2	Move B-2	Compute C-1
9	2	Compute A-2	Image B-2	Move C-2
11	2	Move A-3	Compute B-2	Image C-2
13	2	Image A-3	Move B-3	Compute C-2
15	2	Compute A-3	Image B-3	Move C-3
17	2	Move A-4	Compute B-3	Image C-3
19	2	Image A-4	Move B-4	Compute C-3
21	2	Compute A-4	Image B-4	Move C-4
23	2	Move A-5	Compute B-4	Image C-4
25	2	Image A-5	Move B-5	Compute C-4
27	2	Compute A-5	Image B-5	Move C-5
29	2	Move A-6	Compute B-5	Image C-5
31	2	Image A-6	Move B-6	Compute C-5
33	2	Compute A-6	Image B-6	Move C-6
35	2		Compute B-6	Image C-6
37	1			Compute C-6
38				



WFMOS Fiber System



Parts of a spectrometer



WFMOS Spectrographs



- Spectrograph modes:
 - Lower resolution modes can support up to 2400 simultaneous spectra with resolution of 1500-5000
 - High resolution (20,000) mode can support 600 simultaneous spectra
 - Straight-through (imaging) mode for test, verification, commissioning

WFMOS Detectors



- Key challenge of fiber-fed spectrographs: getting the fiber placed accurately on the astronomical target
- Especially challenging for WFMOS:
 - large field of view
 - large number of fibers
 - smaller diameter fiber
- Our design addresses these challenges:
 - positioner design provides high precision
 - attention to differential mechanical flexure
 - error budgets for mechanical tolerances
 - straight-through spectrograph mode facilitates commissioning

Performance Advantages

Design emphasizes efficiency:

- Fast positioner configuration speed
- Mechanically stiff, robust, & precise positioner system
- High quantum efficiency detectors
- Large information gathering power

Next Lecture

Physics of: Fiber optics Gratings Detector Performance

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Lecture 4: Physical principles - part 2 (very simple!)

Topics

- Physical principles of such an instrument
 - How fibers work, non-ideal behavior
 - How diffraction gratings work
 - Detector performance



There is a maximum angle, θ_{max} , called the acceptance angle, for which light entering the fiber will propagate through total internal reflection. This angle is defined by:

$$NA = \sin \theta_{max} = \sqrt{n_1^2 - n_2^2}$$

NA is called the numerical index

Fiber optics cont'd

- Example of total internal reflection
- If you are underwater and look up at a shallow angle you will not be able to see out of the water
- If you look straight up, you will be able to see out.



Optical fibers – non-ideal behavior

- In addition to the normal fiber losses due to absorption and scattering there are other effects.
- Étendue (the AΩ product) can never decrease, and in a perfect optical system, the AΩ product stays constant.
- In a fiber optic system, there are factors (stress, defects, non-uniformities) that tend to increase AΩ.

Focal Ratio Degradation (FRD)



Diffraction grating – the dispersing element in the spectrometer



wave

 $d\sin\theta = m\lambda$

Grating equation *m* is the "order"

• Maximum wavelength that the grating can diffract is twice the period (such that the diffraction angle is less than 90 deg)

$$|m|\lambda < 2d$$

• Spectra from different orders can overlap. The greater the order, the greater the spectral overlap.

$$\lambda + \Delta \lambda = \frac{m+1}{m} \lambda \quad \Longrightarrow \quad F_{\lambda} = \frac{\lambda}{m}$$

• The problem can be cured by either 1) using filter to get rid of the unwanted orders ("order sorting"), or 2) using additional optical elements to "cross disperse" the spectrum to avoid overlap.

• Resolving power
$$R = \frac{\lambda}{\Delta \lambda} = mN$$

Gratings for high-efficiency astronomy

- Volume Phase Holographic Gratings (VPHG)
- Basic principle: diffraction is generated from the modulation of the refractive index, n, in a film of material sandwiched between glass plates

Example predicted performance of a VPHG



Arns, Colburn, and Barden, Proc. SPIE, 3779:313 (1999)

In practice – don't use normal grating incidence Efficiency can be tuned by varying the incident angle



CCDs

- CCD = Charged Coulpled Device a type of Silicon detector with a specific readout scheme.
- An incident photon is absorbed in the Silicon and creates an electron – hole pair. The electron charge is stored in a potential well created by electrodes. The charge is eventually swept to the edge of the chip and "read out" by an amplifier that interfaces to our electronics systems.

Detecting the photons requires absorbing them in Silicon. Absorption depth depends on wavelength; Silicon becomes essentially transparent above roughly 1 µm.

Early generations of CCDs were a few 10s of µm thick. Among other considerations thicker CCDs had problems with charge diffusion. Newer chips have addressed this and thicknesses of order several hundred µm thick are now possible (and being used in HSC, for BOSS, etc)



Mackay, ARA&A, 24,255,(1986)

Noise

Major sources of noise:

- Dark current (carriers generated by thermal effects rather than photons): $I = A \exp(-B/kT)$
- Read Noise additional noise due to the amplifier processes and added to every sample of pixels during the readout process

Cosmic Rays – contaminate some fraction of the pixels (1 row of one detector from 1800 s dark image on SuprimeCam)



Noise – cont'd

What can we do about the noise sources:

- Dark current: cool the detector (but note absorption depth) $I = A \exp(-B/kT)$
- Cosmic Rays: strategy is to make multiple exposures of the same field and use median filtering to eliminate cosmic ray hits – requires more frequent read outs
- Read Noise fixed noise per sample use longer, less frequent exposures.

Delta doping by JPL for high blue QE

Shouleh Nikzad, JPL delta doping team lead, and new 8" wafer MBE machine fm Veeco





- High density mono-atomic dopant layer deposited by MBE.
- Forms conductive backside contact without absorption or backside potential well to trap charge generated near surface.
- Allows high performance AR coating.
- Simpler fabrication process than ISDP+ITO used by LBL.

\Rightarrow higher yield and higher QE





JPL, day before yesterday



Mt Wilson Observatory



Los Angeles

Thanks!