"Stability of black holes: summary of some results for the past 10
years"

## RESCEU SYMPOSIUM ON

## GENERAL RELATIVITY AND GRAVITATION

## JGRG 22



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# Black holes' stability: A review 

R. A. Konoplya<br>DAMTP, University of Cambridge, UK<br>Tokyo, Nov. 11 - Nov. 16, 2012<br>the 60th birthday of T. Futamase, H. Kodama, M. Sasaki

## Content:

Recent reviews on stability of BHs :
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Conclusions

We shall discuss mainly (but not only) linear dynamical (in)stabilities

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Two main motivations to study gravitational stability of black holes:

- Criterium of existence (in $D=4$ for alternative theories of gravity and in $D>4$ owing to absence of uniqueness)
- gauge-gravity duality (instability corresponds to the phase transition in the dual theory)
- scenarios with extra dimensions (though experimental data on LHC gives no optimism: no large total transverse energy so far at 8 TEV: CMS collaboration claims that semiclassical BHs with mass below 6.1 TeV are excluded)


## From linearized perturbations to a master wave equation

- Step 1: Perturbations can be written in the linear approximation in the form

$$
\begin{gather*}
g_{\mu \nu}=g_{\mu \nu}^{0}+\delta g_{\mu \nu}  \tag{1}\\
\delta R_{\mu \nu}=\kappa \delta\left(T_{\mu \nu}-\frac{1}{D-2} T g_{\mu \nu}\right)+\frac{2 \Lambda}{D-2} \delta g_{\mu \nu} \tag{2}
\end{gather*}
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Linear approximation means that in Eq. (2) the terms of order $\sim \delta g_{\mu \nu}^{2}$ and higher are neglected. The unperturbed space-time given by the metric $g_{\mu \nu}^{0}$ is called the background.

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- Step 3: using the gauge invariant formalism (or fixing the gauge)
- Step 4: Reducing the perturbation equations (after separation of angular variables) to a second order partial differential equation, termed master wave equation. For example, for static and some stationary BHs the master wave equation has the form:

$$
\begin{equation*}
-\frac{d^{2} R}{d r_{*}^{2}}+V(r, \omega) R=\omega^{2} R \tag{3}
\end{equation*}
$$

## Criteria of stability: analytical vs numerical

- If the effective potential $V_{\text {eff }}$ in the wave equation (3) is positive definite, the differential operator

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\begin{equation*}
A=-\frac{\partial^{2}}{\partial r_{*}^{2}}+V_{e f f} \tag{4}
\end{equation*}
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is a positive self-adjoint operator in the Hilbert space of square integrable functions $L^{2}\left(r_{*}, d r_{*}\right)$. Then, there are no negative (growing) mode solutions that are normalizable, i. e., for a well-behaved initial data (smooth data of compact support), all solutions are bounded all of the time.

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- Sometimes the situation can be remedied by the so-called S-deformation of the wave equation to the one with positive definite effective potential, in such a way that the lower bound of the energy spectrum does not change.
- Usually, it is difficult to find an ansatz for the S-deformation, so that numerical treatment of the wave equation is necessary.
- The numerical criteria of stability could be the evidence that all the proper oscillation frequencies of the black hole, termed the quasinormal modes are damped.
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- Quasinormal modes are eigenvalues of the master wave equation with appropriate boundary conditions: purely ingoing waves at the horizon and purely outgoing waves at infinity or de Sitter horizon. For AdS BHs boundary condition at infinity is dictated by AdS/CFT and is usually the Dirichlet one $\Psi=0$, where $\Psi$ is some gauge inv. combination.
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- Quasinormal modes normally have real and imaginary parts $\omega_{\ell, m, \ldots}=\operatorname{Re} \omega+$ ilm $\omega$ and depend on a number of quantum numbers such as multipole number $\ell$, azimuthal number $m$, overtone numbers $n$. That is, there are infinite countable number of quasinormal modes. Potential difficulties: instability usually occurs at lower multipoles $\ell$, but also may happen at high $\ell$ (Gauss-Bonnet BH), an effective potential may have very cumbersome form, the imaginary part of the unstable mode may be very small, ....
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- How to show that all of the QNMs are damped?
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- How to show that all of the QNMs are damped?
- Ideally, either: to achieve an asymptotic regime in all numbers $\ell, m$, etc... and parameters in the frequency domain or to perform time-domain integration until asymptotic tails. Better - both.


## (In)stability of 3+1 dimensional BHs and wormholes

| Black hole solution (parameters) | Publication |
| :---: | :---: |
| Schwarzschild (M) | Regge, Wheeler 1957 |
| Reissner-Nordström ( $M, Q$ ) | Moncrief; Alekseev 1974 |
| exactly extreme Reissner-Nordström ( $M, Q$ ) | Aretakis 2011 |
| Schwarzschild-dS (M, $\wedge>0)$ | Mellor, Moss 1989 |
| Schwarzschild-AdS ( $\mathrm{M}, \Lambda<0$ ) | Cardoso, Lemos 2001 |
| Reissner-Nordström-dS (M, Q, $\Lambda$ ) | Mellor 1989 |
| Kerr (M, J) | Press 1973; Teukolsky 1974 |
| exactly extreme $\operatorname{Kerr}(\mathrm{M}, \mathrm{J})$ | Aretakis 2011; Lucietti, Reall, 2012 |
| Kerr-dS (M, J, $\Lambda>0$ ) | Suzuki 1999 |
| Kerr-AdS ( $\mathrm{M}, \mathrm{J}, \wedge<0$ ) | Giammatteo 2005 |
| Kerr-Newmann (M, J, Q) | ? |
| Kerr-Newman-A(dS) (M, J, Q, $\Lambda$ ) | ? |
| Dilaton (M, Q, $\phi$ ) | Holzhey 1991; Ferrari, 2000 |
| Dilaton-axion (M, Q, J, $\phi, \psi$ ) | ? |
| Dilaton-GB (M, $\phi$ ) | Torii 1998, Pani 2009 |
| Born-Infeld (M, Q) | axial only, Fernando 2004 |
| Black universes (M, $\phi$ ) | Bronnikov, Konoplya, Zhidenko 2012 |
| BHs in the Chern-Simons theory (M, $\beta$ ) | Cardoso 2010 |

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- Extreme Kerr and Reissner-Nordstrom BHs space-times are unstable.
- Wormholes and black holes supported by exotic matter, such as phantom fields, are usually unstable.
- ...and from

1993 Gregory and Laflamme (black branes)
2003 Ishibashi and Kodama (black holes)
a higher dimensional story starts...

## Three types of instability:

- Gregory-Laflamme instability. It occurs for black strings at long wavelengthes in the extra dimension $z$ and is connected with inapplicability of the Birkhoff theorem. Gregory and Laflamme 1993. Gubser and Mitra instability (2001) for large highly charged RNdS BHs in $N=8$ supergravity is of this kind. Developement of black string instability beyond linear order - nonuniform string - thermodynamic arguments and numerical computations by Choptuik and others, 2000th


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- Superradiant instability of asymptotically AdS rotating BHs. If

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\begin{equation*}
\frac{m \Omega}{\omega}>1 \tag{5}
\end{equation*}
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the reflected wave has larger amplitude than the incident one, a superradiance. This effect was predicted by Zeldovich and proved for Kerr BHs by A. Starobinsky in 1974. Super-radiance is connected with extraction of rotational energy of a black hole and occurs at positive ("co-rotating"with a black hole) m. Super-radiance + Dirichlet boundary conditions far from black hole $=$ instability. Therefore, rotating AdS black holes are unstable in the superradiant regime.

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- "Non-Gregory-Laflamme"instability. The "other"type of instability which is not connected with the inapplicability of the Birkhoff theorem or superradiance. It happens, for instance, for asymptotically de Sitter highly charged black holes in $D>6$ space-times.


## Instability of RNAdS BHs in supergravity and RNdS instability in EM theory



Рис.: Left: Gubser-Mitra instability of R-N-AdS in $N=8$ supergravity; Right: R-N-dS instability, Konoplya, Zhidenko PRL, 2009. The parametric region of instability in the right upper corner of the square in the $\rho-q$ "coordinates" for $D=7$ (top, black), $D=8$ (blue), $D=9$ (green), $D=10$ (red), $D=11$ (bottom, magenta). The units $r_{+}=1$ are used; $\rho=r_{+} / r_{c}=1 / r_{c}<1, r_{c}$ is the cosmological horizon. The charge can be normalized by its extremal quantity $q=Q / Q_{\text {ext }}<1$.

## Superradiant instability



Pис.: The stable region in the parameter plane for the simply rotating higher-dimensional asymptotically AdS black hole. Kodama, Konoplya, Zhidenko 2009. The instability has the tiny growth rate which, apparently, will be suppressed by the intensive Hawking evaporation.

## (In)stability of higher-dimensional black holes

| Black hole solution (parameters) | Publication |
| :---: | :---: |
| Schwarzschild (M) | Stable for all D Kodama, Ishibashi 2003 |
| non extreme R-N (M, Q) | Stable for $D=5,6, \ldots, 11$ K.Z. 2009 |
| Schwarzschild-dS ( $M, \Lambda$ ), ( $M, \Lambda>0$ ) | Stable for $D=5,6, \ldots, 11$ K.Z. 2007 |
| Schwarzschild-AdS $(M, \Lambda)(M, \Lambda<0)$ | Stable in EM theory for $D=5,6, \ldots, 11$ K.Z. 2008 |
| R-N -dS (M, $\Lambda$ ) ( $\mathrm{M}, \mathrm{Q}, \Lambda>0$ ) | Unstable for $D=7,8, \ldots, 11$ K.Z. 2009 |
| R-N -AdS in E-M (M, $\Lambda$ ) ( $M, \mathrm{Q}, \Lambda<0$ ) | stable in E-M K.Z. 2008 |
| R-N -AdS in supergravity ( $\mathrm{M}, \Lambda$ ) ( $\mathrm{M}, \mathrm{Q}, \Lambda<0$ ) | unstable Gubser, Mitra 2000 |
| Gauss-Bonnet (M, $\alpha$ ) | Unstable for large $\alpha$, Dotti 2006 |
| Lovelock (M, $\alpha, \beta, \ldots$ ) | Unstable for large $\alpha$, Soda, Takahashi |
| Myers-Perry and its generalizations ( $M, J$ ) | ? Only particular types of perturbations |
| Dilaton (M, Q, $\phi$ ) | ? |
| Dilaton-axion (M, Q, J, $\phi, \psi$ ) | ? |
| Dilaton-Gauss-Bonnet ( $\mathrm{M}, \phi, \alpha$ ) | ? ? |
| squashed Kaluza-Klein black holes | stable, 2000th Soda, Ishihara and others |
| black strings and branes | Gregory and Laflamme 1993 |
| black rings, saturns, etc | ? (Heuristic arguments and analogies) |

K.Z. = Konoplya and Zhidenko

## Potential turbulent instability of AdS space-time

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- This claim was supported by some intuitive arguments of O. Dias and collaborators (2011) borrowed from M. T. Anderson (2006): AdS boundary conditions act like a confining box. Any excitation added to the box, after some time, could explore a configuration consistent with the conserved quantities. The conjecture is that an excitation of AdS eventually finds itself inside its Schwarzschild radius and collapses to a black hole .


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- In concordance with this conjecture it was argued in a subsequent paper of Dias (2012) that a number of asymptotically AdS space-times including a SdS BH space-time apparently look like non-linearly stable
- By now, in my opinion, there is no clearness in this issue: alternative non-linear computations or possibly higher order perturbative calculations would help...


## Conclusions

By now, we know a lot of about various (in)stabilities of black holes and branes in four and higher dimensions in the linear regime, but far from everything....

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We know not much about possible nonlinear (in)stabilities in higher dimensions...


Рис.: The qualitative phase diagram for the black objects in $D \geq 6$ (taken from an Emparan's paper). The horizontal and vertical axes correspond, respectively, to the spin and area of a black object. If thermal equilibrium is not imposed, multirings are possible in the upper region of the diagram.

