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“Self-consistent initial conditions for primordial black hole”

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# Self-consistent initial conditions for primordial black hole formation

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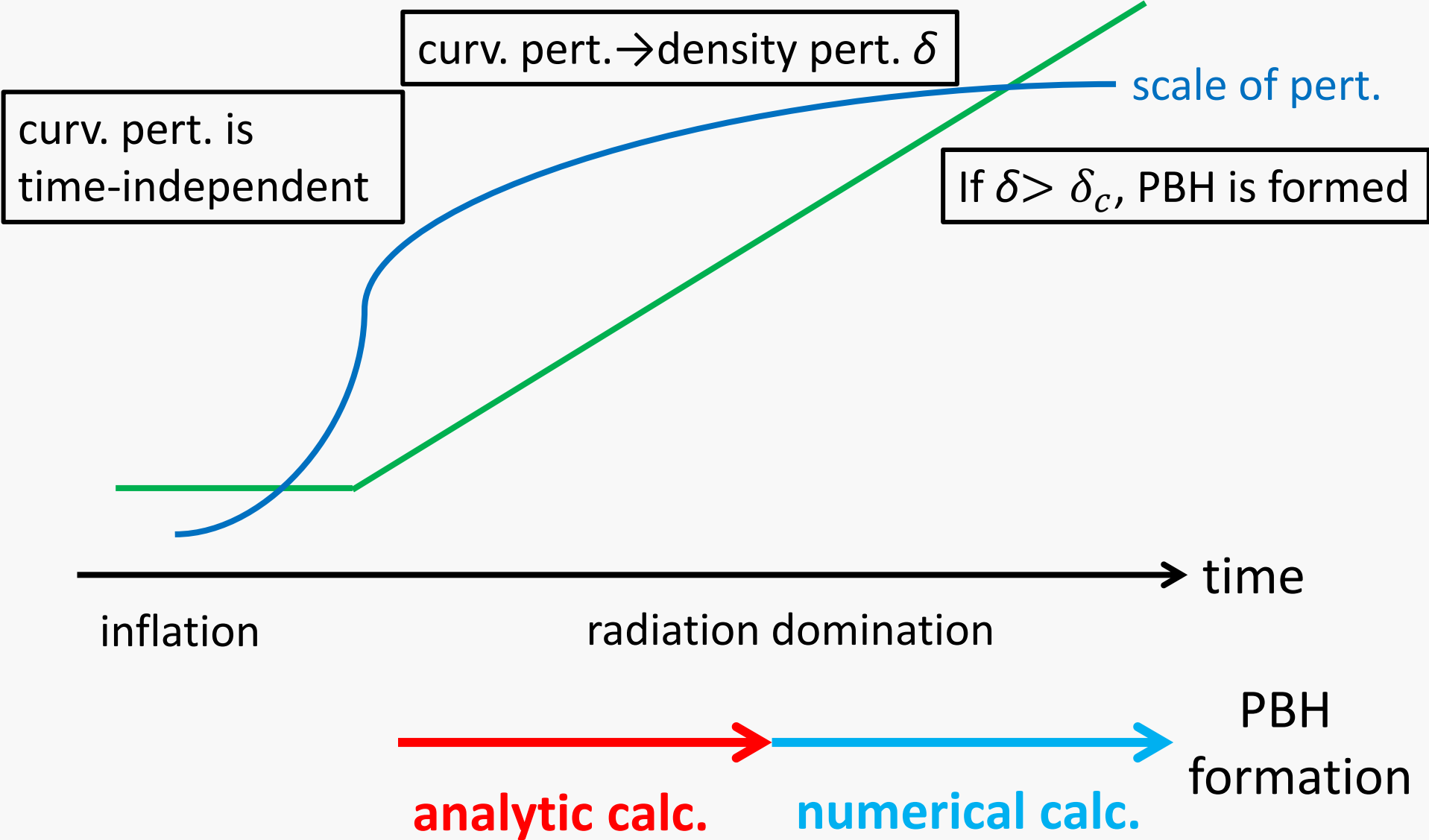
Collaboration with  
Alexander Polnarev,  
Jun'ichi Yokoyama

# Introduction

- Large-amplitude inhomogeneities in the early universe collapse to form Primordial Black Holes.
- Some inflationary models predict large-amplitude curvature perturbation on small scales, leading to production of PBHs.
- CMB or LSS probes primordial perturbation on large scales, while information about that on small scales is scarce.

- Abundance of PBHs has been constrained by gravitational lensing, gravitational waves, etc...
- By investigating the condition for PBH formation in detail, PBH abundance can be correctly predicted assuming some inflationary model.
  - Combined with observational data, the prediction can be used to probe primordial perturbation on small scales.

# outline of PBH formation



- When  $\epsilon \equiv \frac{\text{Hubble radius}}{\text{scale of pert.}} \rightarrow 0$ , pert. is time-independent.

So, initial curv. pert. profile is represented by  $K_i(r)$ .

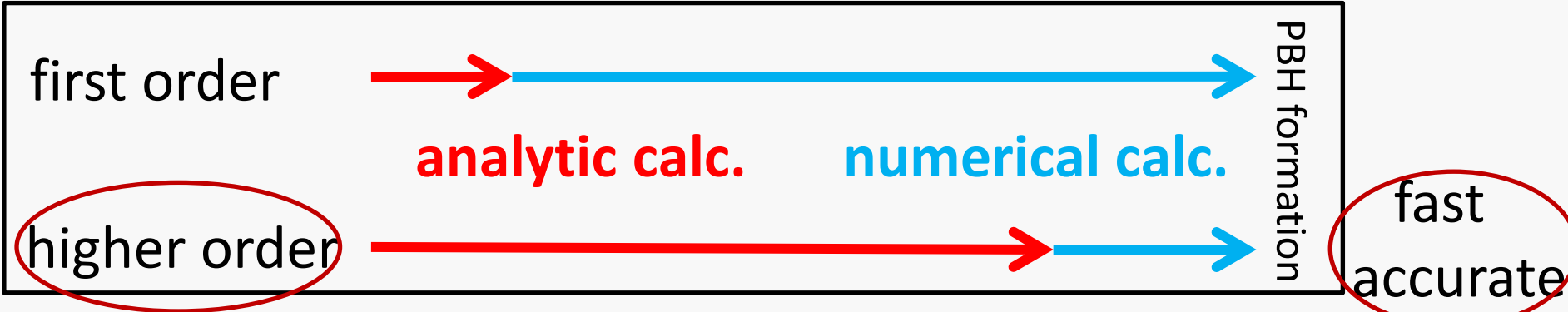
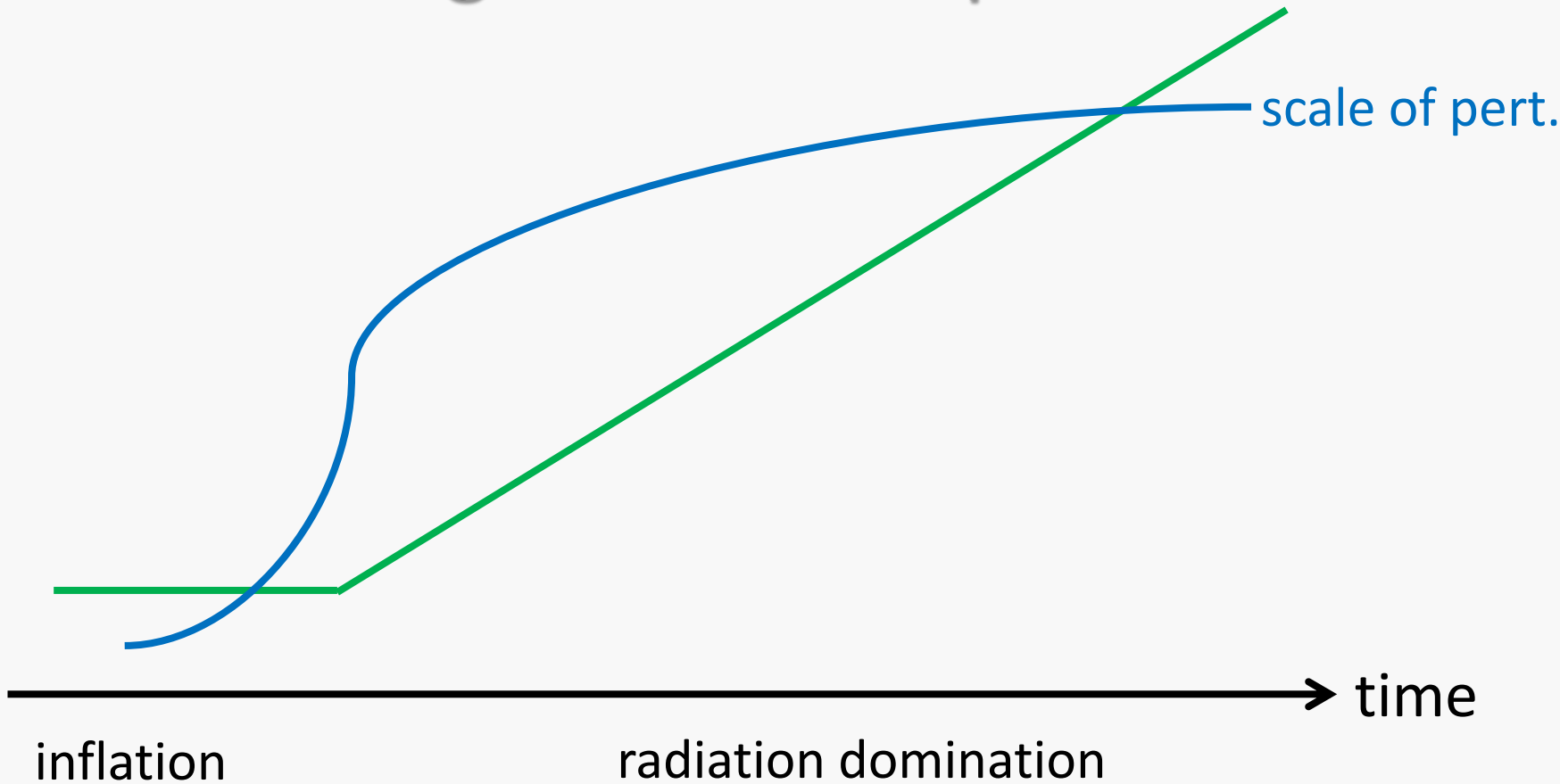
When  $\epsilon \ll 1$ , the solution of the Einstein eqs. can be obtained by asymptotic expansion over  $\epsilon$ .

- first order asymptotic expansion: Polnarev, Musco 2007

- We have obtained higher order expansion.

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# Benefits of higher order expansion Hubble radius



$$ds^2 = -a^2 dt^2 + b^2 dr^2 + R^2 (d\theta^2 + \sin^2 \theta d\phi^2),$$

- $u \equiv \frac{\dot{R}}{a}$ , (definition of  $u$ )

Einstein eqs. (Misner & Sharp, 1964)

$$\left\{ \begin{array}{l} \frac{\dot{b}}{b} = \frac{au'}{R'}, \\ \frac{a'}{a} = -\frac{\gamma}{1 + \gamma} \frac{\rho'}{\rho}, \\ M' = 4\pi\rho R^2 R', \\ \dot{M} = -4\pi p R^2 \dot{R}. \\ \frac{R'^2}{b^2} = 1 + u^2 - \frac{2GM}{R}. \end{array} \right.$$



- consider perturbed region surrounded by the flat FLRW univ.

$$ds^2 = -dt^2 + d(Sr)^2 + (Sr)^2 (d\vartheta^2 + \sin^2\vartheta d\varphi^2)$$

- definition of curvature profile  $K(t, r)$ :

$$ds^2 = -a^2 dt^2 + \frac{dR^2}{1 - \boxed{K(t, r)} r^2} + R^2 (d\vartheta^2 + \sin^2\vartheta d\varphi^2)$$

- initial curvature profile:  $\boxed{K(0, r) \equiv K_i(r)}$  ( $< \frac{1}{r^2}$ )

- boundary condition:  $K(t, \infty) = 0$

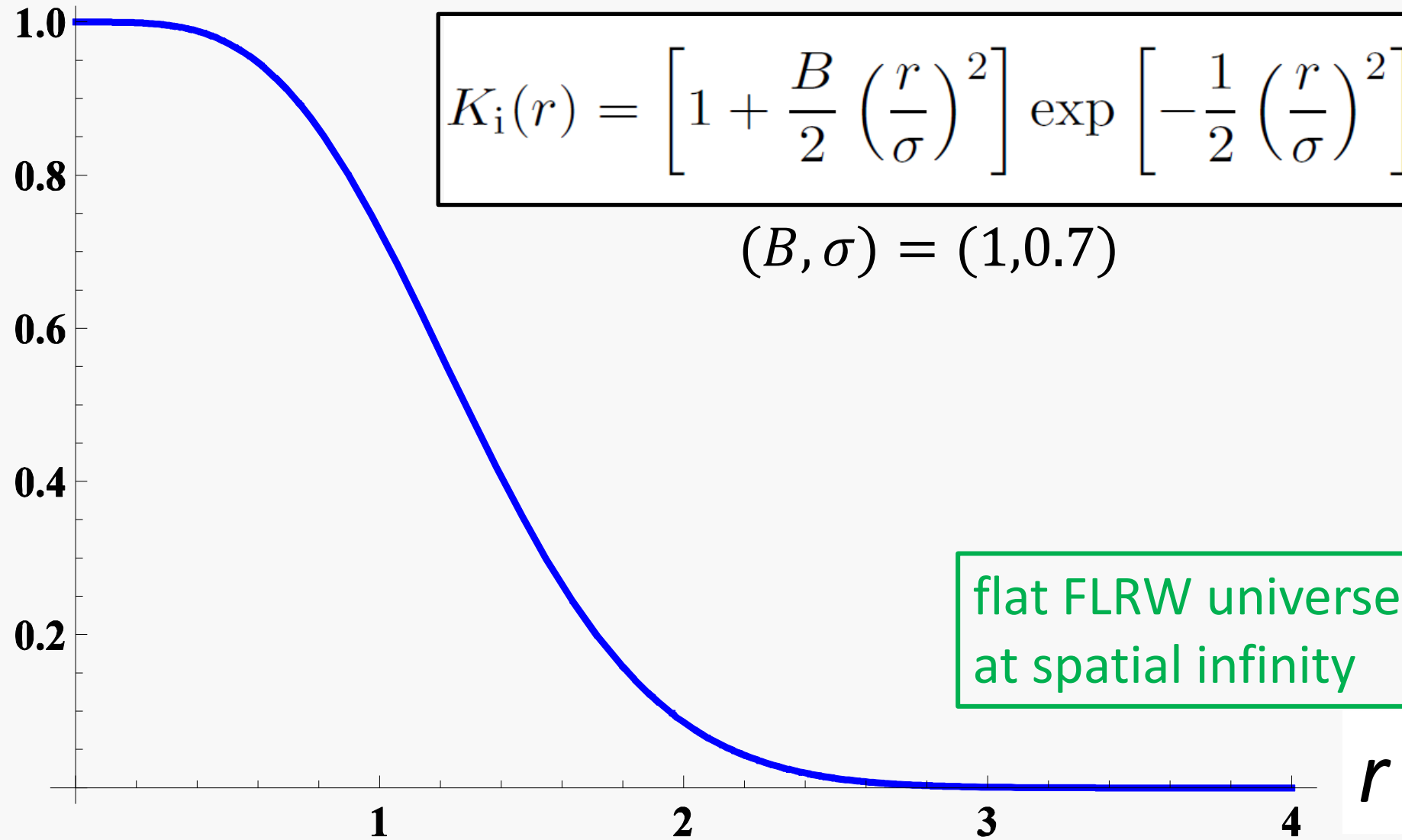
The metric above coincides with the flat FLRW metric at spatial infinity.

# example of initial curvature profile

central large curvature region

$$K_i(r) = \left[ 1 + \frac{B}{2} \left( \frac{r}{\sigma} \right)^2 \right] \exp \left[ -\frac{1}{2} \left( \frac{r}{\sigma} \right)^2 \right]$$

$$(B, \sigma) = (1, 0.7)$$



- decompose all the quantities

$$X(t, r) = X_0(t, r) \tilde{X}(t, r)$$

FLRW solution

deviation from FLRW solution

- expansion parameter:  $\epsilon \equiv \left( \frac{H_0^{-1}}{S(t)r_i} \right)^2 \propto t$  (R. D.)

comoving radius of perturbed region

- Rewriting the Einstein eqs. in terms of  $\tilde{X}$  and plugging

$$\tilde{X}(t, r) = \sum_{n=0}^{\infty} \epsilon^n(t) \tilde{X}_{(n)}(r)$$

recurrence relations to calculate  $\tilde{X}_{(n)}$  are obtained.

$\tilde{\rho}(t, r)$  up to second order in  $\epsilon$

$$1 + \frac{2}{9} r i^2 \epsilon (3 K_i[r] + r K_i'[r]) \quad \leftarrow \text{first order}$$

$$-\frac{1}{540 r} r i^4 \epsilon^2 \left( -132 r K_i[r]^2 - 8 r^3 K_i'[r]^2 + 2 K_i'[r] \left( -16 + r^4 K_i''[r] \right) - 4 r \left( 7 K_i''[r] + r K_i^{(3)}[r] \right) + 2 r^2 K_i[r] \left( -20 K_i'[r] + 2 r \left( 8 K_i''[r] + r K_i^{(3)}[r] \right) \right) \right)$$

second order

# accuracy of asymptotic expansion

$$\text{ERR} \left( \sum_{n=0}^N \epsilon^n \tilde{X}_{(n)} \right) \equiv \tilde{X} - \sum_{n=0}^N \epsilon^n \tilde{X}_{(n)} \sim O(\epsilon^{N+1} \tilde{X}_{(N+1)}).$$

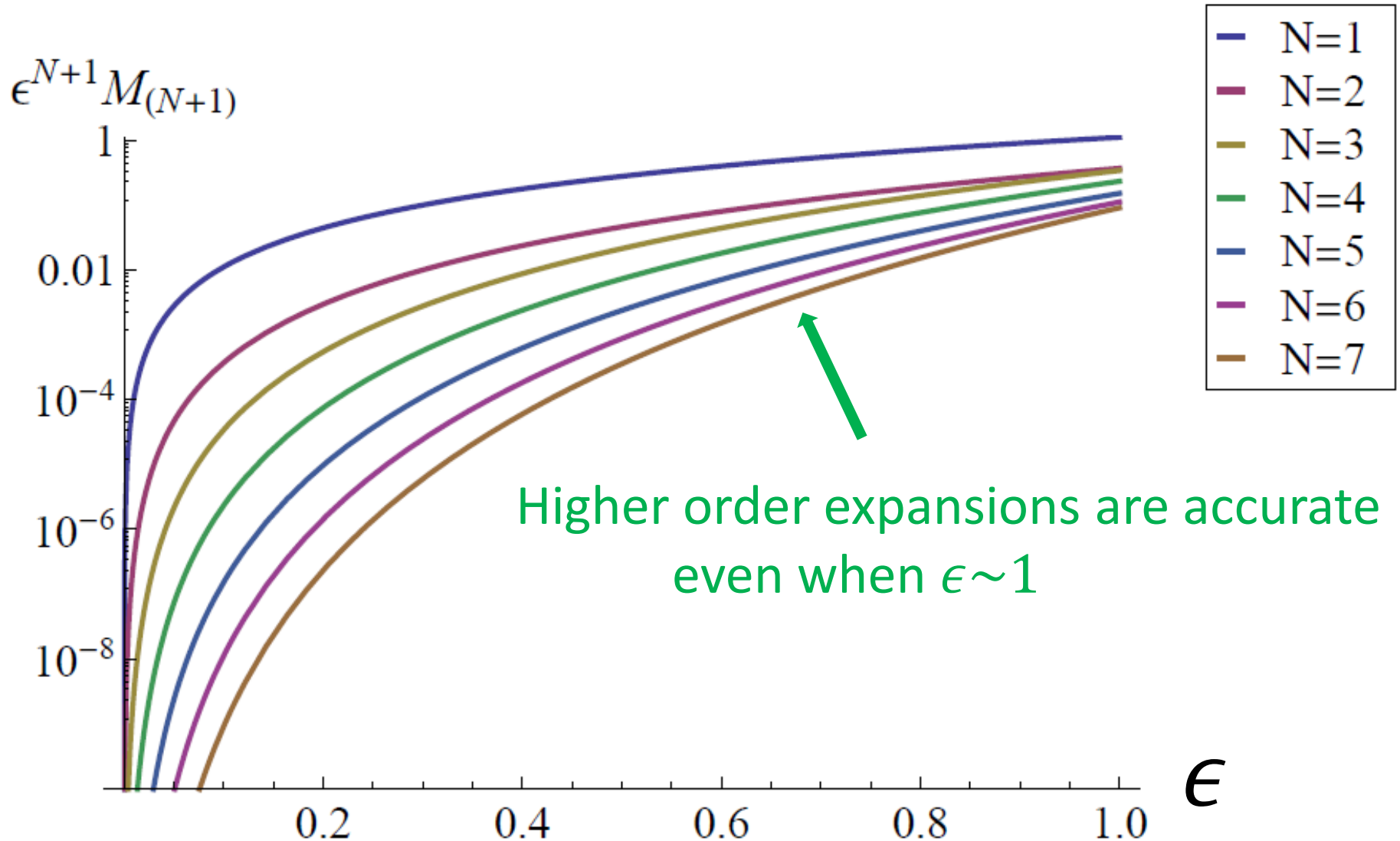
- Letting  $\Delta$  be the required accuracy,

$$\epsilon^{N+1} M_{(N+1)} < \Delta,$$

The maximum of  $\epsilon^{N+1} \tilde{X}_{(N+1)}(r)$

# Time dependence of errors

$$(B, \sigma) = (1, 0.7)$$



Letting  $\Delta$  be the required accuracy,

$$\epsilon^{N+1} M_{(N+1)} < \Delta,$$

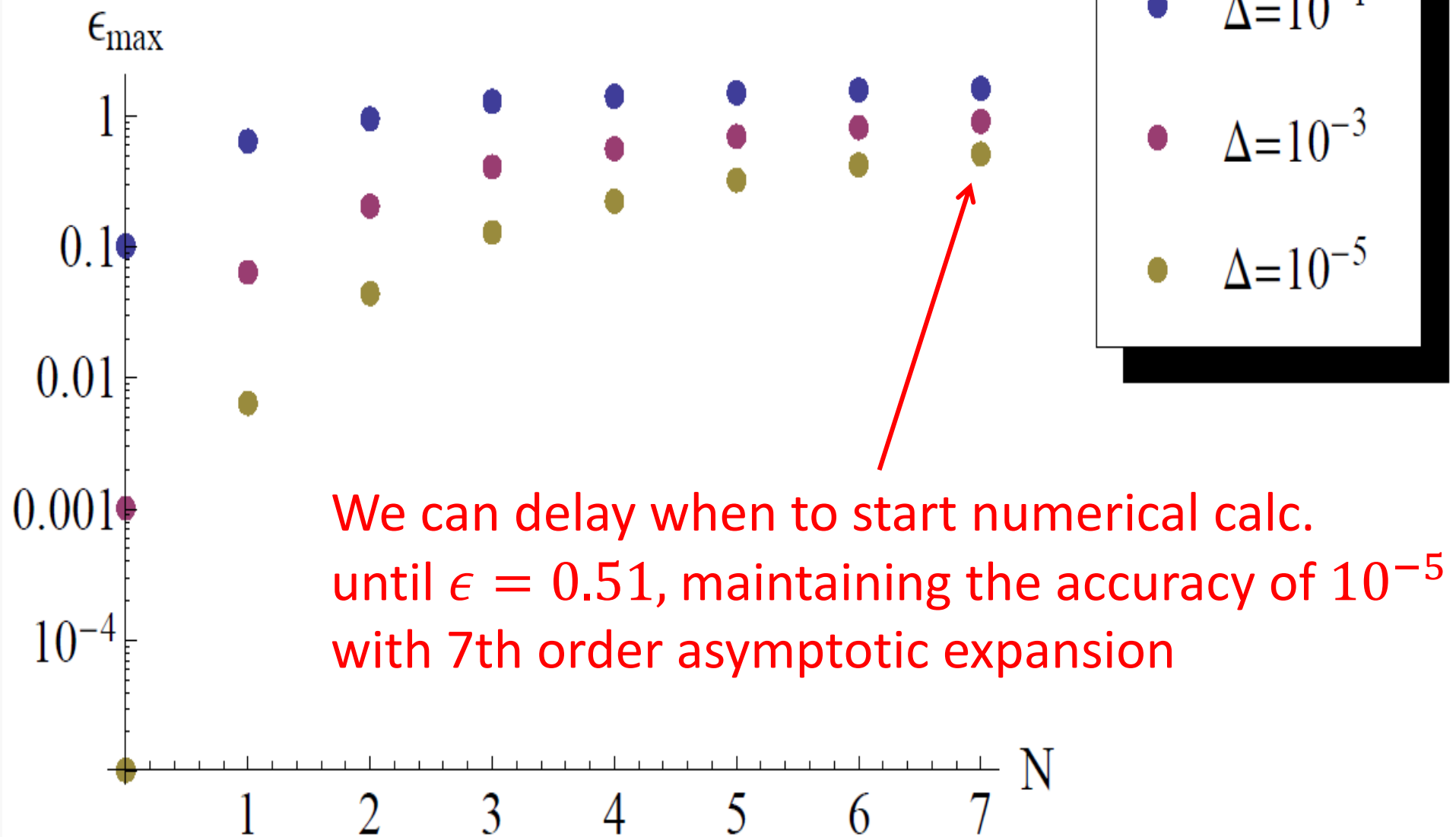
The maximum of  $\epsilon^{N+1} \tilde{X}_{(N+1)}(r)$

The error is less than  $\Delta$  if

$$\epsilon < \epsilon_{\max} \equiv \sqrt[N+1]{\frac{\Delta}{M_{(N+1)}}}.$$

# When to start numerical computation

$$((B, \sigma) = (0, 0.7))$$

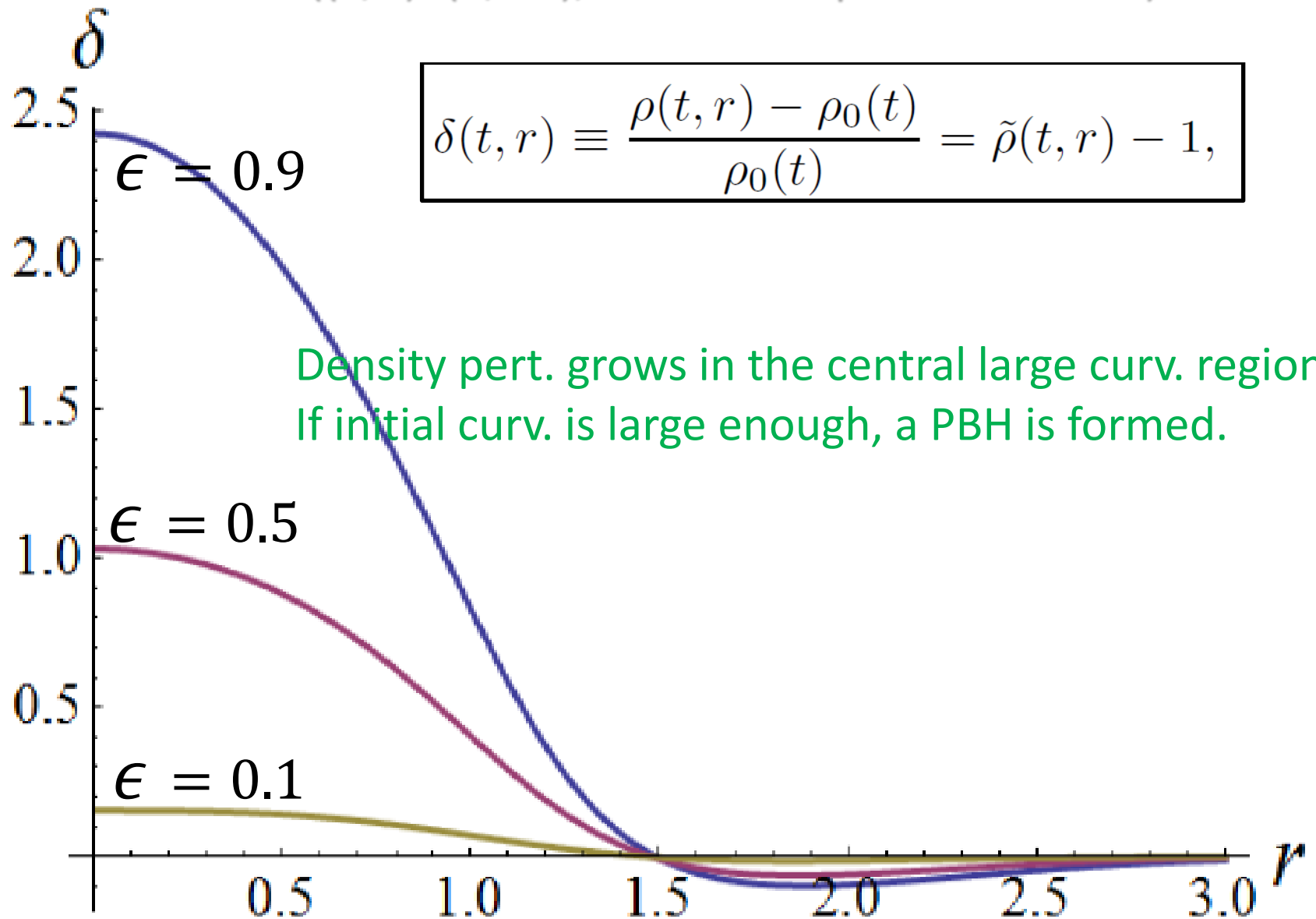


We can delay when to start numerical calc.  
until  $\epsilon = 0.51$ , maintaining the accuracy of  $10^{-5}$   
with 7th order asymptotic expansion



# time evolution of density pert. profile

$((B, \sigma) = (1, 0.7), 7\text{th order expansion is used})$



# summary

- Spherically symmetric large amplitude perturbation embedded in the flat FLRW universe is investigated.
- The solution of the Einstein eqs. is obtained by asymptotic expansion over  $\epsilon$ .
- The solution is valid while the perturbed region is outside the horizon.
- Initial curvature profile,  $K_i(r)$ , generates the solution.
- Since the solution is accurate even when  $\epsilon \sim 1$ , we can delay the time when numerical computation is started.

  
work in progress

backups

- define  $\tilde{K}$ , which describes time evolution of  $K$

$$1 - K(t, r)r^2 = (1 - K_i(r)r^2)\tilde{K}(t, r)$$

- $\tilde{K}(t, \infty)=1$  since  $K(t, \infty) = 0$ ,  $K_i(\infty) = 0$ .
- $\tilde{K}(0, r)=1$  since  $K(0, r) \equiv K_i(r)$ .
- $\tilde{K} > 0$ , so we can define  $\hat{K} \equiv \log \tilde{K}$

The Einstein equations are rewritten in terms of  $\tilde{X}$ ,  $\hat{X}$ .

$$\tilde{\mu} = \tilde{H}^2 + \epsilon \left[ \frac{r_i^2}{r^2} + e^{\hat{K}} \left( K_i r_i^2 - \frac{r_i^2}{r^2} \right) \right] e^{-2\hat{R}}$$

$$\frac{\partial \tilde{\mu}}{\partial \xi} = 2(\tilde{\mu} - \tilde{\Phi} \tilde{f}),$$

$$\tilde{\rho} = \tilde{\mu} + \frac{1}{3} D_r \tilde{\mu},$$

$$\hat{a} = -\frac{\gamma}{1 + \gamma} \hat{\rho},$$

$$\frac{\partial \hat{R}}{\partial \xi} = \frac{2}{3(1 + \gamma)} (\tilde{\Phi} - 1),$$

$$\frac{\partial \hat{K}}{\partial \xi} = -\frac{4\gamma}{3(1 + \gamma)^2} \tilde{\Phi} D_r \hat{\rho}.$$

- construct solution by asymptotic expansion

$$\tilde{X}(t, r) = \sum_{n=0}^{\infty} \epsilon^n (t) \tilde{X}_{(n)}(r)$$

$$\hat{X}(t, r) = \sum_{n=0}^{\infty} \epsilon^n (t) \hat{X}_{(n)}(r)$$

- by definition,  $\tilde{X}_{(0)} = 1$ ,  $\hat{X}_{(0)} = 0$ .

- By plugging the expansions into the Einstein eqs, recurrence relations to calculate the expansion coefficients  $\tilde{X}_{(n)}$  are obtained.
- example of recurrence relations

$$\tilde{\rho}_{(n)} = \tilde{\mu}_{(n)} + \frac{r}{3}\tilde{\mu}'_{(n)} + W_{3(n)},$$

$$W_{3(n)} \equiv S_{(n)} [(\tilde{\mu} - \tilde{\rho})(r\tilde{R})'] + \frac{r}{3}S_{(n)} [\tilde{\mu}'\tilde{R}],$$

$$S_{(n)} [\tilde{X}_1 \tilde{X}_2] \equiv \sum_{i=1}^{n-1} \tilde{X}_{1(i)} \tilde{X}_{2(n-i)}.$$

- By plugging these expansions into the Einstein equations, we can obtain recursive relations to calculate  $\tilde{X}_{(n)}$ .

- We define

$$S_{(n)}[\tilde{X}_1 \tilde{X}_2] \equiv \sum_{i=1}^{n-1} \tilde{X}_{1(i)} \tilde{X}_{2(n-i)}.$$

$$S_{(n)}^*[\tilde{X} F] = \sum_{m=1}^{n-1} m \tilde{X}_m F_{(n-m)}.$$

↑  
some function of  $\tilde{X}$

- $S_{(n)}$ ,  $S_{(n)}^*$  depend only on the expansion coefficients of up to  $n-1$  order.



$$\tilde{\mu}_{(n)} = \frac{1}{1 + A_n} (F_{(n)} + W_{1(n)} - W_{2(n)}),$$

$$A_n \equiv \frac{2}{1 + \gamma} \left[ \left( \gamma + \frac{1}{3} \right) n - \gamma \right],$$

$$F_{(n)} \equiv \delta_n^1 r_i^2 K_i - 2r_i^2 K_i \hat{R}_{(n-1)} + r_i^2 \left( K_i - \frac{1}{r^2} \right) \hat{K}_{(n-1)},$$

$$W_{1(n)} \equiv S_{(n)}[\tilde{H}\tilde{H}] + r_i^2 \left( K_i - \frac{1}{r^2} \right) S_{(n-1)}[e^{\hat{K}} e^{-2\hat{R}}] \\ + \frac{1}{n-1} \left\{ r_i^2 \left( K_i - \frac{1}{r^2} \right) S_{(n-1)}^*[\hat{K} e^{\hat{K}}] - 2r_i^2 K_i S_{(n-1)}^*[\hat{R} e^{-2\hat{R}}] \right\}.$$

$$W_{2(n)} \equiv 2 \left( S_{(n)}[\tilde{a}\tilde{H}] + S_{(n)}[\tilde{\Phi}\tilde{f}] + \frac{\gamma}{n(1+\gamma)} S_{(n)}^*[\hat{\rho}(\tilde{\rho} - \tilde{a})] \right).$$

$$\tilde{\rho}_{(n)} = \tilde{\mu}_{(n)} + \frac{r}{3}\tilde{\mu}'_{(n)} + W_{3(n)},$$

$$\tilde{H}_{(n)} = -\frac{1}{2(1+A_n)}[A_n(F_{(n)} + W_{1(n)}) + W_{2(n)}],$$

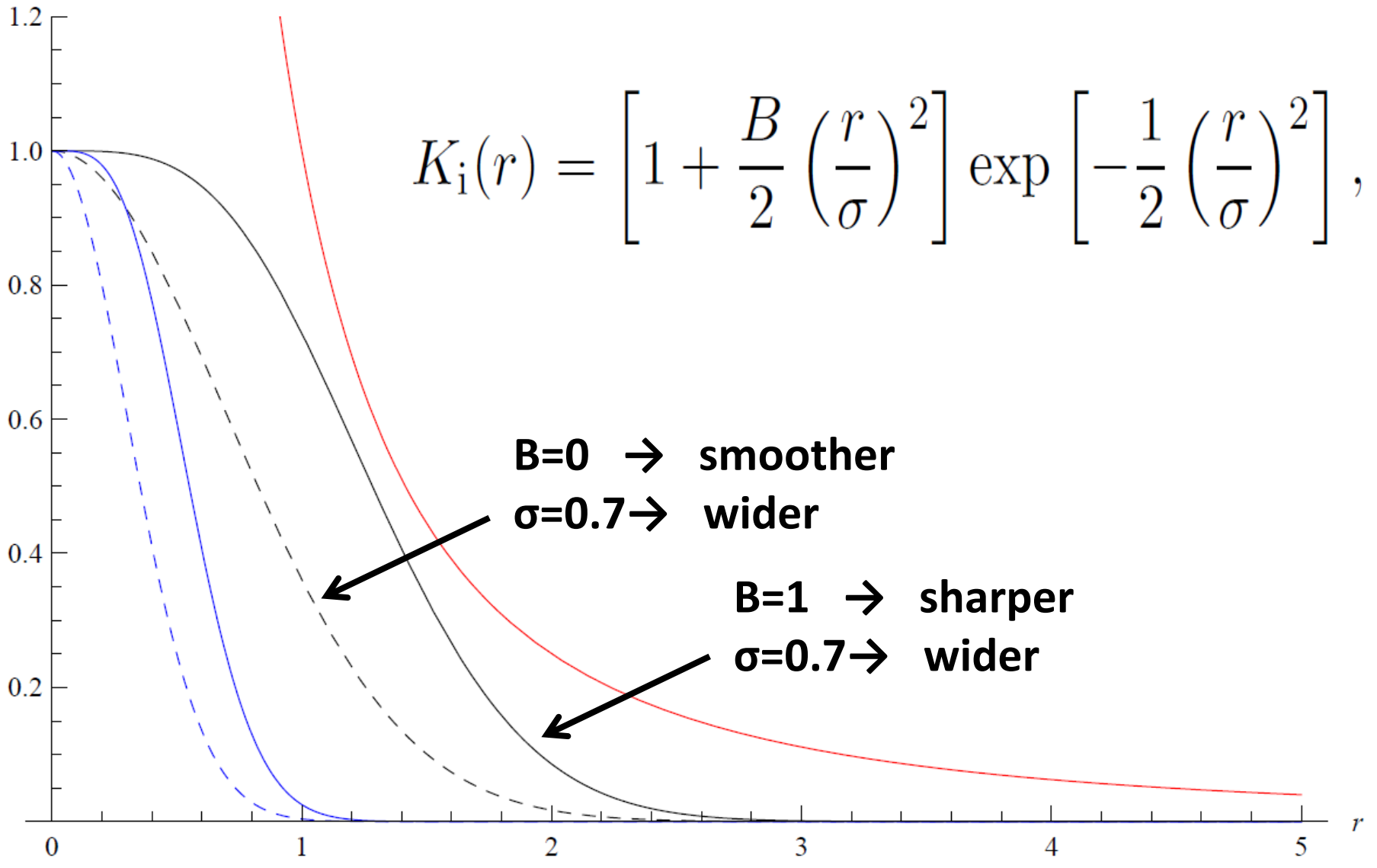
$$\tilde{a}_{(n)} = -\frac{\gamma}{1+\gamma} \left( \tilde{\mu}_{(n)} + \frac{r}{3}\tilde{\mu}'_{(n)} \right) + W_{4(n)},$$

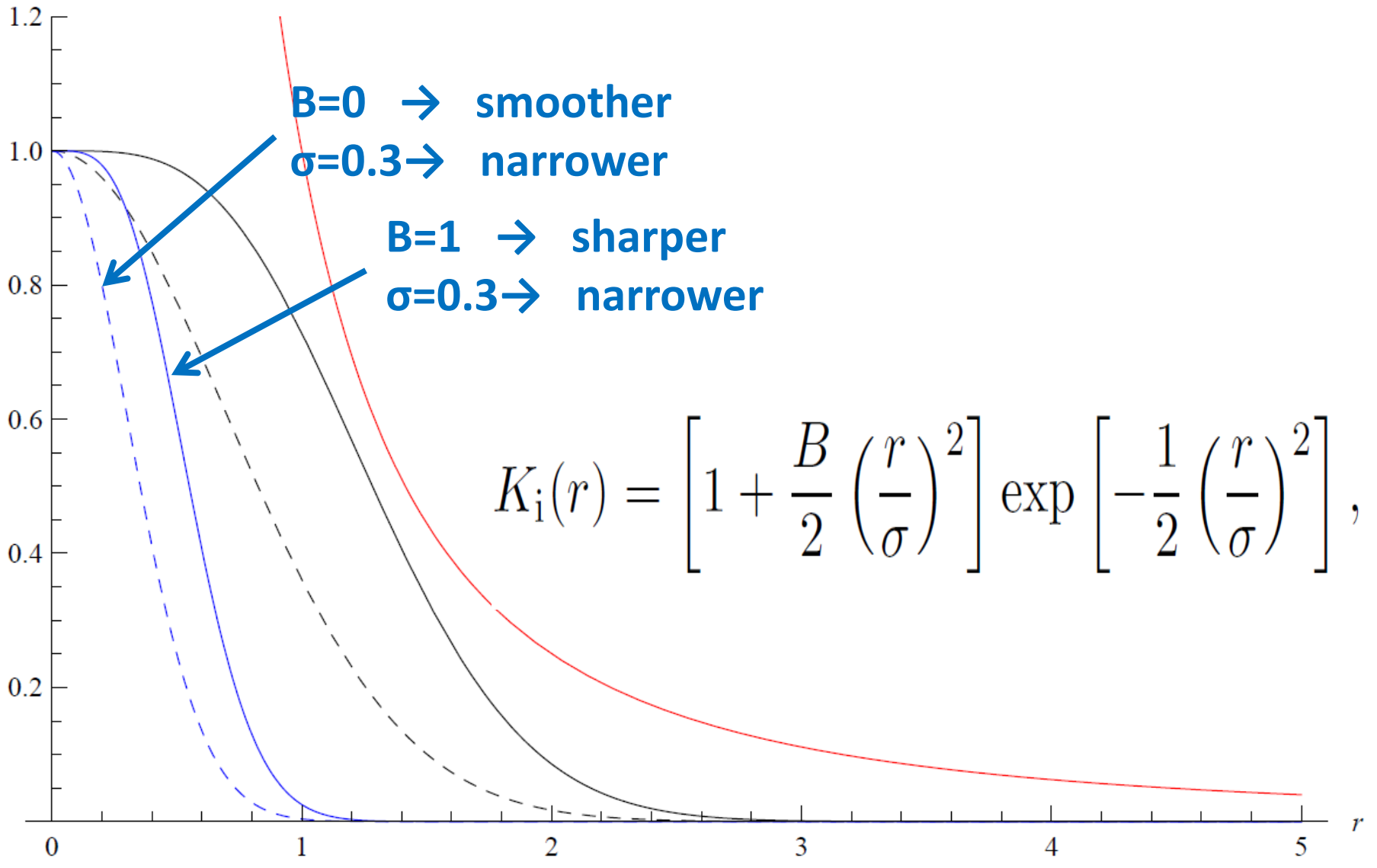
$$\hat{R}_{(n)} = \frac{1}{(1+3\gamma)n} (\tilde{a}_{(n)} + \tilde{H}_{(n)} + W_{5(n)}),$$

$$\hat{K}_{(n)} = -\frac{2\gamma}{(1+\gamma)(1+3\gamma)n} (r\hat{\rho}'_{(n)} + W_{6(n)}),$$

$$\tilde{R}_{(n)} = \hat{R}_{(n)} + \frac{1}{n} S_{(n)}^* [\hat{R} \tilde{R}],$$

$$\tilde{K}_{(n)} = \hat{K}_{(n)} + \frac{1}{n} S_{(n)}^* [\hat{K} \tilde{K}].$$





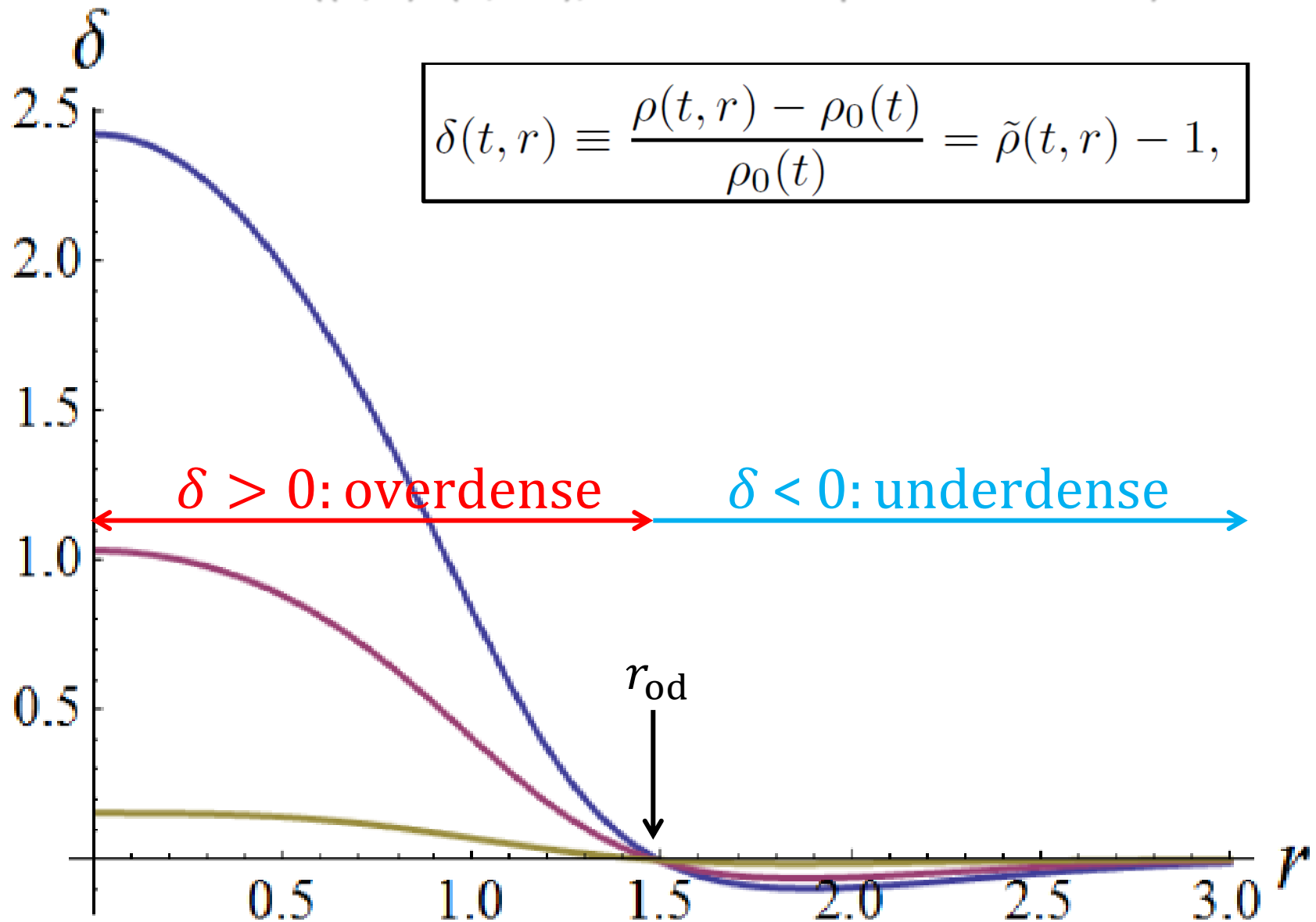
$$\delta(t, r_{od})=0$$

$r_i$  is defined as  $r_{od}$   
at an sufficiently early time.

$$\delta_{(1)}(t, r_i) = \frac{(1+\gamma)r_i^2(3K_i(r) + rK_i'(r))}{5+3\gamma} \epsilon = 0$$

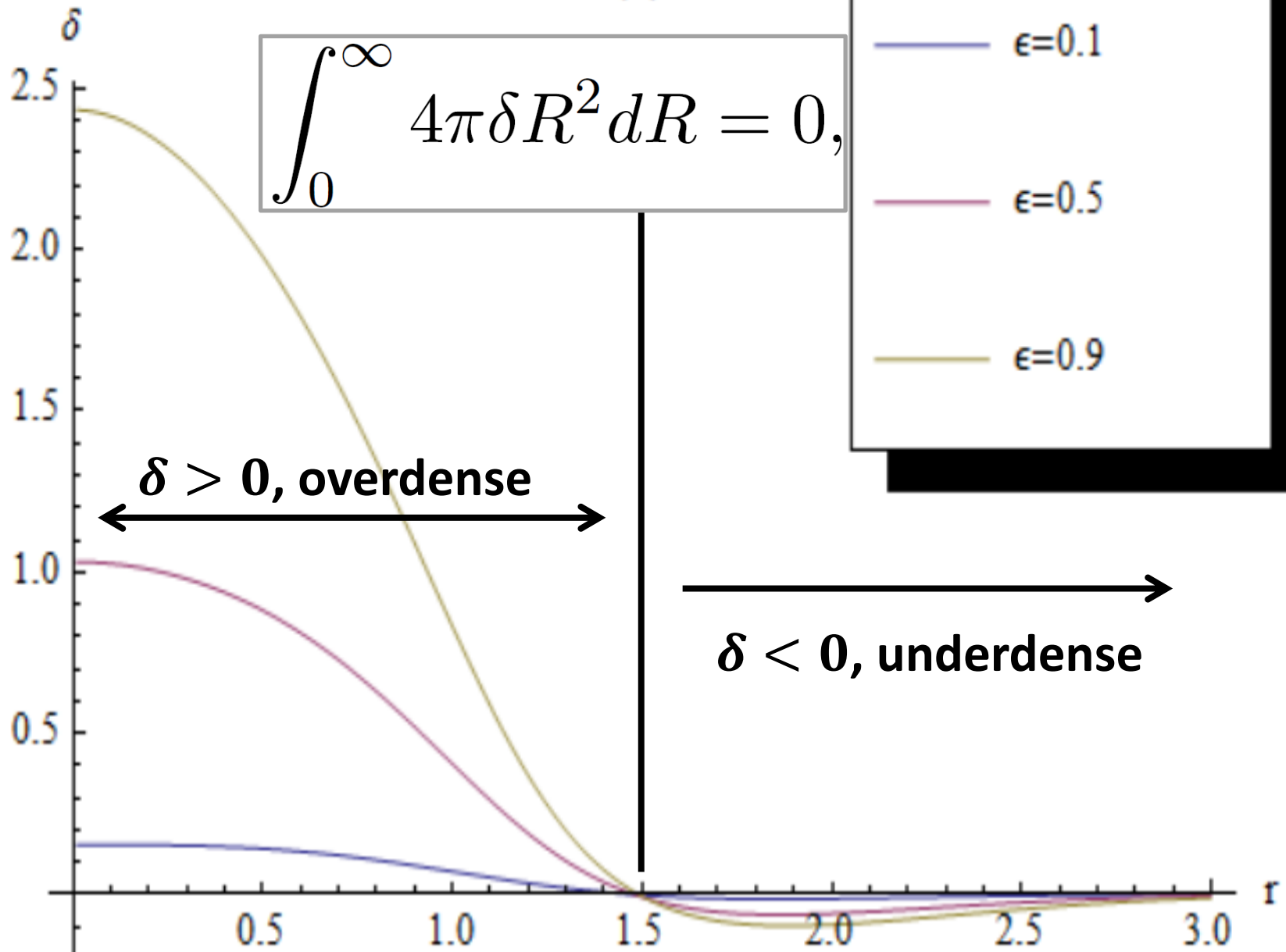
# time evolution of density pert. profile

$((B, \sigma) = (1, 0.7), 7\text{th order expansion is used})$



$(B, \sigma) = (1, 0.7)$

(a)



# Time evolution of averaged overdensity

$$\bar{\delta}(t) \equiv \left( \frac{4}{3} \pi R(t, r_{\text{od}})^3 \right)^{-1} \int_0^{R(t, r_{\text{od}})} 4\pi \delta R^2 dR.$$

